STATE OF CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

Referral of Town Clerk Joyce Mascena, Glastonbury

File No. 2012-153A

AGREEMENT CONTAINING CONSENT ORDER

This Agreement, by and between Annette Pasek, of the Town of Glastonbury, County of Hartford, State of Connecticut and the authorized representative of the State Elections Enforcement Commission is entered into in accordance with Section 9-7b-54 of the Regulations of Connecticut State Agencies and Section 4-177 (c) of the General Statutes of Connecticut. In accordance herewith, the parties agree that:

- 1. This matter comes by way of a referral from Joyce Mascena, the Town Clerk for the Town of Glastonbury.
- 2. The Referring official alleges that she received two separate applications for an absentee ballot for the November 6, 2012 General Election for Jameson Joseph Pasek with signatures that did not match, suggesting that the applications were signed and submitted by two different individuals, one or both of whom were not Jameson Joseph Pasek.
- 3. The two applications were dated 9/5/12 and 9/22/12 and were stamped as having been received by the Town Clerk on 9/6/12 and 10/5/12, respectively. They both bore the same information for Jameson J. Pasek, but the signature and handwriting on each appeared to the Referring Official as being from two different individuals.
- 4. After a review of the registration card for Jameson J. Pasek and other members of his household, one of the applications appeared to have been filled out and signed by Mrs. Annette Pasek, Jameson's mother.
- 5. While it is permissible for another individual to assist a voter in filling out her absentee ballot application, the assister must identify herself. Moreover, only the applicant may sign the application for an absentee ballot application; the permission of the applicant does not suffice. General Statutes § 9-140, reads in pertinent part
 - (a) Application for an absentee ballot shall be made to the clerk of the municipality in which the applicant is eligible to vote or has applied for such eligibility. Any person who assists another person in the completion of an application shall, in the space provided, sign the application and print or type his name, residence address and telephone number. Such signature shall be made under the penalties of false statement in absentee balloting. . . . The application shall be

signed by the applicant under penalties of false statement in absentee balloting. . . . (Emphasis added.)

- 6. Here, by assisting her son in the completion of his application without identifying herself as an assister and by signing on her son's behalf, the Respondent violated General Statutes § 9-140 (a).
- 7. In response to the instant referral, the Respondent admits to taking an act that constituted falsifying another person's signature on a form requesting an absentee ballot. However, she maintained that her efforts on behalf of her son were motivated by altruism, not fraud. Her son was out of state attending college at the time and she merely wanted to make sure that he had an opportunity to vote. Unbeknownst to her, her son had already requested a ballot on his own accord. She regrets her mistake and apologizes for the error.
- 8. Connecticut General Statutes § 9-7b (a) (2) provides that the Commission may assess a civil penalty of two thousand dollars per offense against any person the commission finds to be in violation of any provision of chapter 145, part V of chapter 146, part I of chapter 147, chapter 148, section 7-9, section 9-12, subsection (a) of section 9-17, section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-23r, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 9-42, 9-43, 9-50a, 9-56, 9-59, 9-168d, 9-170, 9-171, 9-172, 9-232i to 9-232o, inclusive, 9-404a to 9-404c, inclusive, 9-409, 9-410, 9-412, 9-436, 9-436a, 9-453e to 9-453h, inclusive, 9-453k or 9-453o,. Pursuant to Regulations of Connecticut State Agencies §9-7b-48, in determining the amount of a civil penalty, the Commission shall consider, among other mitigating and aggravating factors:
 - (1) the gravity of the act or omission;
 - (2) the amount necessary to insure immediate and continued compliance;
 - (3) the previous history of similar acts or omissions; and
 - (4) whether the person has shown good faith in attempting to comply with the applicable provisions of the General Statutes.
- 9. Here, the Respondent admits to taking an act that constituted falsifying another person's signature on a form requesting an absentee ballot. Objectively, this is a serious offense; many of the important protections of the polling place are not present in the natural course of the absentee ballot process, leaving it exposed to greater opportunities for fraud.
- 10. However while there appears here to be no question that the Respondent was careless in reviewing the form that she was filling out and signing on her son's behalf, there does not appear to have been any fraudulent intent on behalf of either her or her son.

- 11. The Respondent maintains that her efforts on behalf of her son were motivated by altruism, not fraud. The Respondent wanted her son to exercise his right, but behaved carelessly in her efforts to help him do so.
- 12. It should also be noted that the vetting process of absentee ballot applications, required by law and successfully implemented by the Town Clerk and Registrar of Voters worked as it should have in this case. They were vigilant in their review of the absentee ballots; only one vote was ultimately cast.
- 13. The Respondent admits all jurisdictional facts and agrees that this Agreement and Order shall have the same force and effect as a final decision and Order entered after a full hearing and shall become final when adopted by the Commission. The Respondent shall receive a copy hereof as provided in Section 9-7b-56 of the Regulations of Connecticut State Agencies.

14. The Respondent waives:

- a. Any further procedural steps;
- b. The requirement that the Commission's decision contain a statement of findings of fact and conclusions of law, separately stated; and
- c. All rights to seek judicial review or otherwise to challenge or contest the validity of the Order entered into pursuant to this Agreement.
- 15. It is understood and agreed that this Agreement will be submitted to the Commission for consideration at its next meeting and, if the Commission does not accept it, it is withdrawn and may not be used as an admission by the Respondent in any subsequent hearing, if the same becomes necessary.
- 16. Upon the Respondent's compliance with the Order hereinafter stated, the Commission shall not initiate any further proceedings pertaining to this matter.

ORDER

IT IS ORDERED THAT:

- a. The Respondent will henceforth strictly comply with General Statutes § 9-140; and
 b. The Respondent will pay a civil penalty of \$100.

For the State of Connecticut:
BY: Michael J. Brandi, Esq. Executive Director & General Counsel and Authorized Representative of the State Elections Enforcement Commission 20 Trinity St., Suite 101 Hartford, CT
Dated: 7/5/13
of 2013 at Hartford, Connecticut Anthony J. Castagno, Chair By Order of the Commission

RECEIVED STATE ELECTIONS

JUL 15 2013

ENFORCEMENT COMMISSION