

STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION

In the Matter of a Complaint by Caleb Kleppner,
New Haven

File No. 2010-002E &
File No. 2013-031NF

AGREEMENT CONTAINING A CONSENT ORDER

The parties, Debra Hauser, of the ^{Town of Clinton}~~City of New Haven~~, State of Connecticut, hereinafter referred to as the Respondent, and the undersigned authorized representative of the State Elections Enforcement Commission enter into this agreement as authorized by Connecticut General Statutes § 4-177 (c) and Regulations of Connecticut State Agencies § 9-7b-54. In accordance with those provisions, the parties agree that:

1. Complainant Kleppner filed this complaint with the Commission on January 8, 2010.
2. Respondent created Elm & Oak PAC political committee in 2008 as its chairperson and remains in that role.
3. General Statutes § 9-605 requires a committee chairman to name committee officers according to certain timelines, namely:

(c)The chairman of each political committee shall report any addition to or change in information previously submitted in a statement of organization to the proper authority not later than ten days after the addition or change.

General Statutes § 9-605 (c) (2012).

4. On May 21, 2009, Elm & Oak PAC's former campaign treasurer resigned. Respondent did not update the registration forms by May 31, 2009, as required by statute.
5. On October 7, 2009, Respondent filed the necessary documents to reflect the change in campaign treasurers.
6. By failing to follow the statutory requirements for keeping Elm & Oak PAC political committee's registration documentation current, Respondent violated General Statutes § 9-606 (c).

7. Respondent maintains that her failure to update the political committee's registration statement was unintentional. Respondent further maintains that she delegated the filing of the forms with the Commission to an employee of the political committee.
8. Respondent admits all jurisdictional facts and agree that this Agreement and Order shall have the same force and effect as a final decision and order entered into after a full hearing and shall become final when adopted by the Commission. The Respondent waives:
 - a. Any further procedural steps;
 - b. The requirement that the Commission's decision contain a statement of findings of fact and conclusions of law, separately stated; and
 - c. All rights to seek judicial review or otherwise to challenge or contest the validity of the Order entered into pursuant to this Agreement.
9. Upon the Respondent's agreement to comply with the Order hereinafter stated, the Commission shall not initiate any further proceedings against her concerning this matter.
10. It is understood and agreed by the parties to this Agreement that the Commission will consider this Agreement at its next meeting and, if the Commission rejects it, the Agreement will be withdrawn and may not be used as an admission by the Respondent in any subsequent hearing, if one becomes necessary.

ORDER

IT IS HEREBY ORDERED THAT Respondent pay a civil penalty of \$350 for violating General Statutes § 9-605 and dissolve the Elm & Oak PAC political committee within 30 days of the acceptance of this consent order by the Commission.

IT IS HEREBY ALSO ORDERED THAT this Consent Agreement shall resolve any other cases currently pending against Respondent related to her role with Elm & Oak PAC, specifically File No. 2013-031NF.

The Respondent

For the State of Connecticut

By: *Debra Hauser*

Debra Hauser
~~396 Livingston Street~~ 12 BUELL CT
New Haven, CT 06511 CLINTON, CT
06413

By: *Michael J. Brandi*

Michael J. Brandi, Esq.
Executive Director and General Counsel
and Authorized Representative of the
State Elections Enforcement Commission
20 Trinity St., Suite 101
Hartford, CT 06106

Dated: 4/24/14

Dated: 5/12/14

Adopted this 14th day of MAY, 2014 at Hartford, Connecticut by vote of the Commission.

Anthony J. Castagno
Anthony J. Castagno, Chairman