

# SEEC FORM 1

STATE ELECTIONS ENFORCEMENT COMMISSION

## Registration by Candidate

Revised September 2016



<b>REGISTRATION TYPE</b>		<b>1. ELECTION DATE</b> (mm/dd/yyyy)		<b>2. MUNICIPALITY</b>	
✓ Initial   Amendment		Nov 2018		(If applicable)	
<b>3. OFFICE OR POSITION SOUGHT</b>				<b>4. DISTRICT NUMBER</b>	
State Representative				(If applicable) 150	
<b>5. PARTY AFFILIATION</b>					
Republican    ✓ Democratic    Other (Specify) _____					
<b>6. CANDIDATE NAME</b>					
First Name		MI	Last Name		Suffix
david		W	COX		
<b>7. CANDIDATE RESIDENCE ADDRESS</b>			<b>8. CANDIDATE MAILING ADDRESS</b> (If different)		
Street Address			Address		
51 Harding Rd					
City	State	Zip Code	City	State	Zip Code
Old Greenwich	CT	06870			
<b>9. CANDIDATE TELEPHONE</b>		<b>10. CANDIDATE EMAIL ADDRESS</b>			
(Include Area Code)					
203      832      2667		dwcox60@gmail.com			
<b>11. DESIGNATION OF CAMPAIGN FUNDING SOURCE</b>					
<b>(Check one)</b>					
✓   <b>A.</b> I am forming a candidate committee and I am required to file a Candidate Committee Registration Statement.					
Go to <b>Form 1A</b> and complete <b>pages 2 and 3</b> — Candidate Registration Statement.					
<b>B.</b> I am exempt from forming a candidate committee and I am filing a Certification of Exemption from Forming a Candidate Committee.					
Go to <b>Form 1B</b> and complete <b>page 4</b> — Certification of Exemption from Forming a Candidate Committee.					
<b>Important Notice: Failure of a candidate to complete this page together with either Form 1A, "Registration of Candidate Committee," or Form 1B "Exemption from Forming a Candidate Committee," within 10 days of becoming a candidate will subject the candidate to a mandatory \$100 late filing fee. See Section 9-623(b), Connecticut General Statutes.</b>					
Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.					

# SEEC FORM 1A

STATE ELECTIONS ENFORCEMENT COMMISSION

## Candidate Committee Registration Statement

Revised September 2016



<b>REGISTRATION TYPE</b>		<b>CANDIDATE NAME</b>			
✓ Initial   Amendment		david w cox			
<b>12. COMMITTEE NAME</b>					
Elect David Cox					
<b>13. COMMITTEE ADDRESS</b>			<b>14. &amp; 15. COMMITTEE EMAIL ADDRESS &amp; WEBSITE</b>		
Address 51 Harding Rd			Email Address dwcox60@gmail.com		
City Old Greenwich		State CT	Zip Code 06870	Website	
<b>16. TREASURER NAME</b>					
First Name Adam		MI A	Last Name Rothman		Suffix
<b>17. TREASURER RESIDENCE ADDRESS</b>			<b>18. TREASURER MAILING ADDRESS (If different)</b>		
Street Address 22 C Livingston Pl			Address		
City Greenwich		State CT	Zip Code 06830	City	State Zip Code
<b>19. TREASURER TELEPHONE</b>			<b>20. TREASURER EMAIL ADDRESS</b>		
(Include Area Code) 914 643 8200			arothmanins@gmail.com		
<b>21. DEPUTY TREASURER NAME</b>					
First Name Peter		MI E	Last Name Berg		Suffix
<b>22. DEPUTY TREASURER RESIDENCE ADDRESS</b>			<b>23. DEPUTY TREASURER MAILING ADDRESS (If different)</b>		
Street Address 28 Dandy Dr			Address		
City Cos Cob		State CT	Zip Code 06807	City	State Zip Code
<b>24. DEPUTY TREASURER TELEPHONE</b>			<b>25. DEPUTY TREASURER EMAIL ADDRESS</b>		
(Include Area Code) 203 869 5953			petereberg@gmail.com		
<b>26. DEPOSITORY INSTITUTION NAME</b>					
Bank of America					
<b>27. DEPOSITORY INSTITUTION ADDRESS</b>					
Address 162 East Putnam Avenue, Cos Cob, CT 06807					

REGISTRATION TYPE	CANDIDATE NAME
<input checked="" type="checkbox"/> Initial   <input type="checkbox"/> Amendment	david w cox

**28. CERTIFICATION**

Candidate

I hereby certify and state, under penalties of false statement, that all of the designations set forth in this candidate committee registration statement are true and accurate to the best of my knowledge and belief, and further, that this statement includes my certification to the fact that any individual designated herein to serve as my treasurer or deputy treasurer have indicated to me their acceptance of my appointment of them to those positions.

david w cox 04/22/2018  
CANDIDATE SIGNATURE DATE (mm/dd/yyyy)

Treasurer

I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated treasurer of this candidate committee. I certify that I am an elector in the State of Connecticut. I intend to comply with all the campaign finance registration and disclosure requirements as contained in Chapter 155 through 157 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.

I certify that I have paid any civil penalties or forfeitures assessed pursuant to Chapters 155 to 157, inclusive.

I certify that I have not been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) felony involving fraud, forgery, larceny, embezzlement or bribery, or (B) criminal offense under Title 9 of the General Statutes, or that at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a subsequent conviction of or plea to another such felony or offense.

I certify that I am not otherwise barred from serving as a treasurer by order of the State Elections Enforcement Commission.

Adam A Rothman 04/22/2018  
TREASURER SIGNATURE DATE (mm/dd/yyyy)

Deputy Treasurer

I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated deputy treasurer of this candidate committee, and I understand and accept that, in the event of a vacancy caused by the treasurer's death, incapacity or resignation, I shall automatically become responsible for discharging all of the duties required of the vacating treasurer. I certify that I am an elector in the State of Connecticut. I intend to comply with all the campaign finance registration and disclosure requirements as contained in Chapter 155 through 157 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.

I certify that I have paid any civil penalties or forfeitures assessed pursuant to Chapters 155 to 157, inclusive.

I certify that I have not been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) felony involving fraud, forgery, larceny, embezzlement or bribery, or (B) criminal offense under Title 9 of the General Statutes, or that at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a subsequent conviction of or plea to another such felony or offense.

I certify that I am not otherwise barred from serving as a deputy treasurer by order of the State Elections Enforcement Commission.

Peter E Berg 04/22/2018  
DEPUTY TREASURER SIGNATURE DATE (mm/dd/yyyy)

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# SEEC FORM 1B

## STATE ELECTIONS ENFORCEMENT COMMISSION Certification of Exemption From Forming a Candidate Committee

Revised September 2016



REGISTRATION TYPE	CANDIDATE NAME
<input type="checkbox"/> Initial <input type="checkbox"/> Amendment	

### 12. REASON FOR EXEMPTION FROM FORMING A CANDIDATE COMMITTEE

I hereby certify that I am exempt from forming a candidate committee because (CHECK ONE)

A. I am one of a slate of candidates whose campaigns are being funded solely by a town committee or a political committee formed for a single election or primary and expenditures made on my behalf will be reported by the committee sponsoring my candidacy. The name of this sponsoring committee is:

\_\_\_\_\_

OR

B. I am funding my campaign entirely from my own personal funds and will not request or receive contributions from other individuals or committees and I understand that if I make expenditures exceeding one thousand dollars (\$1,000) that I shall be responsible for filing financial disclosure statements (SEEC Form 23) according to the same schedule and in the same manner as required of treasurers of candidate committees.

OR

C. I do not intend to receive or expend funds in excess of one thousand dollars (\$1,000).

OR

D. I do not intend to receive or expend any funds, including personal funds, for this campaign.

### 13. CERTIFICATION

I hereby certify and state, under penalties of false statement, that this statement of exemption from forming a candidate committee, for the reason checked above, is true, accurate and complete to the best of my knowledge and belief.

\_\_\_\_\_  
CANDIDATE SIGNATURE

\_\_\_\_\_  
DATE (mm/dd/yyyy)