

State Elections Enforcement Commission



Information Reported Pursuant to General Statutes § 9-7a (c)

Fiscal Year 2020-2021

(1) Cases Investigated:

The State Elections Enforcement Commission (the “Commission” or “SEEC”) docketed 185 new cases in the current fiscal year. One hundred and twenty-one of the new cases were the result of sworn citizens’ complaints with the remainder being from either a referral from a state or local election official or from a decision by the Commission to initiate a case investigation on its own motion. These matters involve a multitude of election issues, including matters of election administration, the process of voting, campaign finance laws and the Citizens’ Election Program. The new cases do *not* include complaints filed with SEEC which allege facts that, even if accepted as true, would not have constituted violations of state election law.

Of the 121 new complaints docketed as a result of a sworn citizen’s complaint during this fiscal year, the following municipalities had more than one complaint:

Danbury, Fairfield, Glastonbury, Greenwich, Hartford, Middletown, Norwalk, Orange, Southington, Stamford, Stonington, Torrington, West Hartford, Weston

SEEC closed a total of 172 docketed matters during the fiscal year. Of these, 60 were newly docketed cases and 112 were from prior years. Forty Commission decisions resulted in monetary penalty sanctions (civil penalties or forfeitures), 22 resulted in reprimands or orders to comply, 102 were dismissed with a finding of no violation or with no further action because adequate compliance was reached or there was insufficient evidence to make a determination. One of the dismissed matters was also referred to another enforcement agency. SEEC also administratively resolved eight docketed matters, with five being removed from the docket due to the one-year provision in General Statutes § 9-7b (g) (1).

The unit collected \$163,261 in late filing fees, civil penalties and forfeitures for violations of election laws, which were deposited in the General Fund.

Notable among the 172 matters resolved by the Law Enforcement Unit in the fiscal year were 6 that involved civil penalties of \$2,000 or more, including In the Matter of a Commission Initiated Investigation, File No. 2018-051, which resulted in civil penalties totaling \$125,000--the largest penalties collected by staff in any case in Commission history--against individuals involved in impermissible coordination between a publicly financed gubernatorial campaign and the “FixCT, Inc.” independent expenditure political committee. Also notable, the Commission resolved 25

voter fraud allegations brought by the organization “Fight Voter Fraud” and/or its founder within the fiscal year.

The agency also works closely with state and federal enforcement agencies, including the United States Department of Justice and the Connecticut Chief State’s Attorney, where fact patterns overlap with cases on our docket. During this fiscal year, cooperation with other law enforcement agencies resulted in multiple criminal indictments and pending trials that either began or drew from Commission investigations: Currently, there are three such matters pending before criminal courts. State v. John Mallozzi, FST-CR19-0196346-T, is awaiting trial and concerns potential fraud and/or forgery of absentee ballots. In May, the United States Attorney for the District of Connecticut brought indictments against a State Senator and his candidate committee treasurer for conspiracy, wire fraud, false statements, and false declaration to a grand jury concerning potential impermissible contributions to his publicly funded 2018 campaign for state senate. (United States v. Dennis Bradley, et al, Case 3:21-CR-00174.) In July, the United States Attorney for the District of Connecticut also brought indictments against a Bridgeport City Council Member for conspiracy, identity theft, and fraudulent registration concerning potential fraudulent handling of voter registration and absentee ballot documentation. (United States v. Michael DeFilippo, Case 3:21-cr-00128-VAB.)

A chart listing matters opened and closed by the Commission during the fiscal year is attached.

(2) Commission Staffing, Money Disbursed & Matters within SEEC Jurisdiction:

During the fiscal year ending June 2021, the SEEC relocated offices to 55 Farmington Avenue, Hartford, CT 06105. The Commission continues to have 35 authorized positions. The total personnel salary for this fiscal year was \$3,002,836.

The SEEC will face the retirement of at least three significant positions in the fiscal year ending June 2022: the Director of Information Technology, the Director of Disclosure and Audit and a senior IT programmer. Because we are entering a statewide election year, it will be important to timely fill all positions and obtain the ability for the three senior people to return for 120 days to facilitate training of new personnel and ensure a smooth transition.

In fiscal year 2020-2021, the Commission disbursed \$12,558,348.10 in grant monies related to the 2020 election cycle as well as five grants for three special elections. The 2020 grant cycle spanned the fiscal year ending June 2020 and went into the fiscal year that is the subject of this report. A total of \$13,537,612 in grant monies was disbursed in connection with the 2020 grant cycle.

The 2020 run of the Citizens’ Election Program was a success. Even during a pandemic, the participation rates remained high:

- 94% of the General Assembly elected in 2020 participated in the CEP (95% of House and 89% of Senate)
- 83% of all candidates on the ballot in 2020 used the clean elections program
- 2020 brought the highest rate of participation ever for primary races at 96%, with 100% participation for the Senate and all but one candidate participating in the program for the House primaries

After the 2020 election, we again conducted a survey to provide an opportunity for candidates, treasurers and deputy treasurers to give us feedback on the various aspects of the CEP as well as make suggestions for improvement. Every year we review these suggestions, as well as the post-election review materials, and look for ways to further support treasurers. Overall, candidate

satisfaction and treasurer satisfaction with the CEP continues to be high: 84% of candidates and 89% of treasurers were pleased with their experience using the Program. More information on the survey can be found [here](#).

For further information on the Commission's disbursements, please see the [2020-2021 Digest of Administrative Reports to the Governor](#) and [The Status of the Citizens' Election Fund as of December 31, 2020](#).

(3) Legislation:

Recently Passed Legislation

During fiscal year ending June 2022, two bills were passed that affected campaign finance:

Public Act 21-49: An Act Permitting the Use of Citizens' Election Program Grant Funds to Offset a Participating Candidate's Child Care Costs (formerly S.B.761)

This Act clarifies that expenditures for childcare services are permissible for nonparticipating candidates and makes them permissible for participating candidates when they are rendered to a candidate for the care of any child under thirteen years of age and for whom the candidate is the parent or legal guardian. The services must be necessary as a direct result of campaign activity that would not exist but for the candidate's campaign and compensation must be reasonable and customary for the services rendered. Candidates who are participating in the Citizens' Election Program are permitted to have the campaign pay for such services up to the qualifying contribution threshold for their office.

The Public Act required the Commission to amend its regulations accordingly and the Commission has posted its proposed regulation and accompanying documents to the Secretary of the State's eRegulations system for the required thirty-day public comment period. The proposed regulation moving through the process can be viewed [here](#). By referring to documentation that will give the treasurer the information needed to authorize and report the expenditures, the proposed language is intended to require documentation that is simpler than what is typically required under the regulations for services provided to a campaign.

Public Act 21-76: An Act Concerning the Modernization of State Services and the Membership of the Commission for Educational Technology (formerly H.B.6444)

This Act modifies contract compliance requirements for certain state, municipal, and quasi-public agency contracts. It eliminates certain requirements that contractors submit documentation (e.g., affidavits and certifications) about their compliance with certain contracting laws and instead requires that they incorporate the applicable requirements into the contracts. Certain other certification requirements that related to principals of state contractors and their campaign contributions and that had existed via Executive Order were codified in Chapter 155.

Prior law required state and quasi-public agencies to (1) distribute a written notice advising contractors and prospective contractors of the restrictions on contributing to, or soliciting for, statewide or legislative candidates, certain political committees, and party committees and (2) obtain a written acknowledgment of the notice receipt. The Act instead requires state and quasi-public agencies to include a copy of, or internet link to, the notice in the bid specifications or RFPs for a state contract. The Act also prohibits these agencies from executing any state contract unless it contains a representation that the chief executive officer or authorized signatory of the contract has received the notice and has provided certain certifications regarding contributions provided by its principals.

As a result of the Act, the Commission has updated its notice to contractors ([formerly SEEC Form 11](#)) to reflect the changes and, in consultation with the Office of Policy and Management, updated its [State Contractor FAQs](#) to make its compliance advice conforming.

Proposed Legislation

For the fiscal year ending June 2022 the Commission is making the following recommendations for further legislation:

1. Referenda and Independent Expenditure Modernization

In light of the pending constitutional referendum question with respect to voting, it would be prudent to update our current referendum laws to address spending by foreign interests and eliminate inconsistencies that were created over the past decade with the passage of Public Acts 10-187 and 13-180. Also, several decades of jurisprudence on independent expenditures have intervened since the 1970's when the current referendum laws were originally introduced. The referendum provisions are long overdue for review and reconsideration.

Our proposal will suggest legislative changes that would, *inter alia*, conform our law to federal court decisions regarding independent expenditures and referendum law, make the laws for referendum and independent spending more internally coherent within Chapter 155, clarify attribution requirements for such spending, eliminate a questionable limitation, and prevent foreign spending on referendum questions.

We are currently working to re-propose or draft new language that would include the following:

Foreign Spending

There is no law at the state level which prevents foreign nationals from making expenditures to support or oppose candidates in elections or referendum questions. Rather, Connecticut applies the federal law. The FEC has recently interpreted the federal law to allow foreign nationals to make expenditures to support or oppose referendum questions. M.U.R. 7523 (November 2, 2021). This interpretation means there is no law at the state or federal level which prevents foreign nationals (or foreign-owned businesses) from making contributions to referendum committees or spending independently on referendum questions.

Aggregate Limit & Independent Expenditure Fixes

We propose to statutorily implement federal court rulings by adjusting the statutes to reflect *McCutcheon v. Federal Election Commission*, 572 U.S. 185 (2014) and the *Citizens United & Speech Now* line of cases adopted by the Second Circuit in *N.Y. Progress & Prot. PAC v. Walsh*, 733 F.3d 483, 485 (2d Cir. 2013), and *Vermont Right to Life v. Sorrell*, 875 F. Supp. 2d 376, 403-404 (D. Vt 2012). See [SEEC Advisory Opinion 2014-03](#) and [SEEC Declaratory Ruling 2013-02](#).

Ten Cent Rule

Conceived of as a compromise in 1975, General Statutes § 9-620 (c) limits the amount persons (who are not individuals or party committees) can give to referendum committees. The law prohibits contributions to such committees “in excess of ten cents for each individual residing in the state or political subdivision thereof in which such referendum question is to be voted upon.” Because of jurisprudence that has evolved since regarding independent expenditure political committees and referendum questions, it would be prudent to address this. See *Speech Now, Walsh* (contribution limits to independent expenditure committees are largely unconstitutional).

Referendum Clarification and Upgrade

Public Acts 10-187 and 13-180, in addressing independent expenditures, muddied the waters with respect to referendum expenditures by treating them sometimes explicitly, and other times implicitly, as a type of independent expenditure. In other places referendum expenditures are

treated as something separate from independent expenditures for candidate elections. We propose changes that will make it more explicit that referendum spending is a type of independent expenditure and must be reported accordingly, and, similarly, that referendum committees are a type of independent expenditure political committee.

Other Minor Adjustments

We may also resubmit some other adjustments proposed in the past. For example, requiring only the disclaimer of the paying committee for organization expenditure party candidate listings, not the individual disclaimer of all candidates mentioned, clarifying when attributions are required for campaign paraphernalia of independent spenders and statutorily exempting communications regarding debates or neutral forum events from the clearly identified candidate rule within 90 days of the election or primary.

This proposal would consist of new materials as well technical fixes to adjust to court rulings that have been submitted since 2014/2015.

2. Disclosure of Consultants (resubmittal)

With the CEP, small-dollar contributions and clean election grants are fully disclosed, but the money that is spent is sometimes hidden through sub-vendors and consultants. This proposal is needed to protect treasurers and place liability where it belongs – with those who have detailed expenditure information but will not disclose it. The proposal increases transparency with respect to clean election funds spent and enhances direct enforcement authority over those hired by committees. This will be a resubmittal.

3. SEEC Serving as the Filing Repository for All Municipal Filings

The State of Connecticut spent well over one million dollars to develop and implement eCRIS, Connecticut's electronic filing system for party committees, General Assembly and statewide candidates, and political committees spending on those offices. The benefits of this system can be extended to municipalities with little additional cost to the State and would then provide the public with far greater transparency for campaign finance information in local elections and referenda through easier access to view municipal filings and by making them electronically searchable.

In 2017, the Commission ran a successful Municipal Campaign Finance Filing Pilot Program under which the Commission served as the filing repository for candidates, candidate committees, and political committees registered with twenty towns for that municipal election cycle. A copy of the Commission's report may be viewed [here](#). With the implementation of electronic registration and a long enough lead time (we will propose that the legislation become effective in 2024) to allow for training and outreach as well as the necessary adjustments in the eCRIS system, the SEEC can make this resource more broadly available.

APPENDIX A
List of Open and Closed Docketed Matters

Open Cases Docket No.	Complaint Town	Complaint Filed Date	Final Decision	Final Decision Date	Respondent Disposition	Complaint Source
2020-050	Bridgeport	9/10/2020				Affidavit
2020-071	Chaplin	11/2/2020				Affidavit
2020-052	Cheshire	9/10/2020	Y	4/28/2021	Consent Order	Referral
2020-083	Clinton	12/4/2020				Referral
2021-121	Danbury	4/26/2021				Affidavit
2021-133	Danbury	6/9/2021				Affidavit
2021-129	Durham	5/24/2021				Affidavit
2021-131	East Granby	6/1/2021				Referral
2020-075	East Lyme	11/9/2020	Y	8/4/2021	Dismissal	Affidavit
2020-046	Fairfield	8/12/2020	Y	5/5/2021	Consent Order	Affidavit
2020-048	Fairfield	8/26/2020	Y	8/4/2021	No Further Action	Affidavit
2020-085	Fairfield	12/10/2020	Y	7/21/2021	Dismissal	Affidavit
2021-132	Farmington	6/7/2021				Affidavit
2020-069	Glastonbury	10/29/2020				Affidavit
2021-134	Glastonbury	6/23/2021				Referral
2020-068	Greenwich	10/29/2020				Affidavit
2021-031	Greenwich	2/16/2021				Affidavit
2021-056	Greenwich	4/1/2021				Affidavit
2021-057	Greenwich	4/1/2021				Affidavit
2021-058	Greenwich	4/1/2021				Affidavit
2021-122	Groton	4/30/2021				Affidavit
2021-003	Hamden	1/13/2021				Affidavit
2021-055	Hartford	3/31/2021	Y	4/7/2021	Consent Order	Affadavit
2020-065	Hartford	10/26/2020				Affidavit
2020-041	Hartford	7/2/2020	Y	8/5/2020	Other	Referral
2020-057	Hartford	9/18/2020				Referral
2020-054	Hartford	9/19/2020				Referral
2021-049	Hartford	3/17/2021	Y	4/7/2021	Dismissal	Referral
2020-056	Hartford	10/7/2020				SEEC Initiated - Grant Validation
2020-063	Hartford	10/7/2020				SEEC Initiated - Grant Validation
2020-064	Hartford	10/16/2020				SEEC Initiated - Grant Validation
2020-058	Hartford	9/3/2020				SEEC Initiated - Non Filer
2020-059	Hartford	9/3/2020				SEEC Initiated - Non Filer
2020-060	Hartford	9/3/2020				SEEC Initiated - Non Filer
2021-004	Hartford	1/5/2021	Y	3/17/2021	Dismissal	SEEC Initiated - Non Filer
2021-005	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-006	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-007	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-008	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-009	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-010	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-011	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-012	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-013	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-014	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-015	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-016	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-017	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-018	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-019	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-020	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-021	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-022	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-023	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-024	Hartford	1/5/2021				SEEC Initiated - Non Filer
2021-025	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-028	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-026	Hartford	1/7/2021				SEEC Initiated - Non Filer
2021-027	Hartford	1/7/2021				SEEC Initiated - Non Filer

2021-001	Hartford	1/8/2021			Consent Order	SEEC Initiated - Non Filer
2021-002	Hartford	1/8/2021				SEEC Initiated - Non Filer
2021-033	Hartford	2/22/2021			Consent Order	SEEC Initiated - Non Filer
2021-034	Hartford	2/22/2021				SEEC Initiated - Non Filer
2021-035	Hartford	2/22/2021			Consent Order	SEEC Initiated - Non Filer
2021-036	Hartford	2/22/2021				SEEC Initiated - Non Filer
2021-037	Hartford	2/22/2021				SEEC Initiated - Non Filer
2021-038	Hartford	2/22/2021				SEEC Initiated - Non Filer
2021-039	Hartford	2/22/2021				SEEC Initiated - Non Filer
2021-040	Hartford	2/22/2021				SEEC Initiated - Non Filer
2021-136	Hartford	6/30/2021				SEEC Initiated - Non Filer
2021-137	Hartford	6/30/2021				SEEC Initiated - Non Filer
2021-138	Hartford	6/30/2021				SEEC Initiated - Non Filer
2021-139	Hartford	6/30/2021				SEEC Initiated - Non Filer
2021-140	Hartford	6/30/2021				SEEC Initiated - Non Filer
2021-141	Hartford	6/30/2021				SEEC Initiated - Non Filer
2020-077A&B	Madison	11/9/2020	Y	7/7/2021	Consent Order	Affidavit
2020-047	Manchester	8/26/2020				Affidavit
2020-045	Meriden	8/12/2020				Affidavit
2020-042	Middletown	7/14/2020	Y	9/16/2020	Dismissal	Affidavit
2021-047	Middletown	3/16/2021				Affidavit
2021-050	Middletown	3/23/2021				Affidavit
2021-051	Middletown	3/23/2021	Y	5/5/2021	Dismissal	Affidavit
2021-053	Middletown	3/30/2021				Affidavit
2021-054	Middletown	3/30/2021	Y	5/5/2021	Dismissal	Affidavit
2021-061	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-062	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-063	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-064	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-065	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-066	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-067	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-068	Middletown	4/13/2021	Y	5/25/2021	Dismissal	Affidavit
2021-069	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-070	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-071	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-072	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-073	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-074	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-075	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-076	Middletown	4/13/2021				Affidavit
2021-078	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-079	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-080	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-081	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-082	Middletown	4/13/2021				Affidavit
2021-083	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-084	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-085	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-086	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-087	Middletown	4/13/2021				Affidavit
2021-088	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-089	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-090	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-091	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-092	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-093	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-094	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-095	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-096	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit

2021-097	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-098	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-100	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-101	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-102	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-103	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-104	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-105	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-106	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-107	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-108	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-109	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-110	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-111	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-112	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-113	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-114	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-115	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-116	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-117	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-124	Middletown	4/13/2021	Y	8/4/2021	Dismissal	Affidavit
2021-077	Middletown	4/14/2021	Y	8/4/2021	Dismissal	Affidavit
2021-135	Milford	6/23/2021				Referral
2021-125	Monroe	5/13/2021				Affidavit
2020-067	Moodus	10/30/2020				Referral
2021-118	New Haven	4/19/2021	Y	7/21/2021	Dismissal	Affidavit
2021-030	New Milford	2/11/2021				Affidavit
2021-128	Newtown	5/20/2021				Affidavit
2020-066	North Canaan	10/29/2020				Referral
2021-032	Norwalk	2/16/2021	Y	7/21/2021	Dismissal	Affidavit
2021-044	Norwalk	3/3/2021				Affidavit
2021-029	Oakdale	1/26/2021				Affidavit
2020-079	Orange	11/19/2020	Y	12/16/2020		Affidavit
2021-048	Orange	3/5/2021	Y	4/21/2021	Consent Order	Referral
2020-081	Plainville	12/4/2020				Referral
2020-051	Pomfret Center	9/15/2020	Y	6/2/2021	Dismissal	Referral
2021-046	Riverside	3/12/2021	Y	4/7/2021	No Further Action	Affidavit
2021-059	Simsbury	3/8/2021	Y	6/2/2021	Dismissal	Affidavit
2020-043	Southington	7/24/2020	Y	12/16/2020	Consent Order	Affidavit
2020-044	Southington	7/24/2020	Y	12/16/2020	Consent Order	Affidavit
2020-055	Southington	9/28/2020	Y	4/7/2021	Consent Order	Affidavit
2021-041	Stamford	2/25/2021	Y	9/1/2021		Affidavit
2021-060	Stamford	3/8/2021				Affidavit
2021-045	Stamford	3/11/2021				Affidavit
2021-119	Stamford	4/21/2021				Affidavit
2020-061	Stonington	10/9/2020				Affidavit
2020-073	Stonington	11/4/2020				Affidavit
2021-127	Storrs Mansfield	5/11/2021				Referral
2020-049	Stratford	8/27/2020	Y	3/3/2021	Dismissal	Affidavit
2021-120	Thomaston	4/23/2021				Affidavit
2020-062	Torrington	10/21/2020				Affidavit
2020-070	Torrington	11/2/2020				Affidavit
2020-084	Wallingford	12/10/2020				Affidavit
2020-080	Washington	11/26/2020	Y	3/17/2021	Consent Order	Affidavit
2020-082	Waterbury	12/4/2020				Affidavit
2020-072	West Hartford	11/2/2020				Affidavit
2020-076	West Hartford	11/9/2020				Affidavit
2020-078	West Hartford	11/13/2020				Affidavit
2020-053A&B	West Haven	9/22/2020	Y	6/16/2021	Consent Order	Affidavit
2020-053B	West Haven	9/22/2020	Y	6/16/2021	Dismissal	Affidavit
2021-052	West Suffield	3/26/2021				Affidavit
2020-074	Weston	11/9/2020	Y	12/16/2020	Dismissal	Affidavit
2021-130	Weston	5/27/2021				Affidavit
2021-042	Westport	2/24/2021				Referral
2021-123	Wolcott	4/29/2021				Affidavit
2021-126	Woodbury	5/17/2021	Y	9/1/2021	Dismissal	Affidavit

Closed Cases Docket No	Complaint Town	Complaint Filed Date	Final Decision	Final Decision Date	Respondent Disposition	Complaint Source
2019-122	Derby	9/18/2019	Y	8/19/2020	Consent Order	Affidavit
2019-131A&B	Stratford	10/4/2019	Y	1/20/2021	Consent Order	Affidavit
2019-173	Middlefield	12/10/2019	Y	1/21/2021	Consent Order	Affidavit
2018-001A	Canterbury	1/17/2018	Y	11/14/2020	Consent Order	Affidavit
2020-080	Washington	11/26/2020	Y	3/17/2021	Consent Order	Affidavit
2020-010	Ledyard	1/23/2020	Y	3/17/2021	Consent Order	Affidavit
2017-062AB&C	New Haven	10/2/2017	Y	11/4/2020	Consent Order	Affidavit
2019-030A&B	New Haven	3/7/2019	Y	11/4/2020	Consent Order	Affidavit
2019-110A&B	New Haven	8/23/2019	Y	11/4/2020	Consent Order	Affidavit
2018-002	Fairfield	1/22/2018	Y	5/16/2021	Consent Order	Affidavit
2019-102	West Haven	7/22/2019	Y	10/14/2020	Consent Order	Affidavit
2019-107A&B	Preston	8/12/2019	Y	8/5/2020	Consent Order	Affidavit
2019-116	Preston	8/30/2019	Y	8/5/2020	Consent Order	Affidavit
2019-153	New London	11/4/2019	Y	11/4/2020	Consent Order	Affidavit
2019-161A&B	Cos Cob	12/3/2019	Y	11/4/2020	Consent Order	Affidavit
2020-028	Stamford	2/28/2020	Y	11/4/2020	Consent Order	Affidavit
2020-043	Southington	7/24/2020	Y	12/16/2020	Consent Order	Affidavit
2020-046	Fairfield	8/12/2020	Y	5/5/2021	Consent Order	Affidavit
2020-053A&B	West Haven	9/22/2020	Y	6/16/2021	Consent Order	Affidavit
2020-038	Hartford	6/1/2020	Y	10/7/2020	Consent Order	Other
2021-055	Hartford	3/31/2021	Y	4/7/2021	Consent Order	Other
2019-091	New Milford	4/22/2019	Y	3/29/2021	Consent Order	Referral
2018-113	Watertown	11/21/2018	Y	9/16/2020	Consent Order	Referral
2019-141	Groton	10/23/2019	Y	5/5/2021	Consent Order	Referral
2020-052	Cheshire	9/10/2020	Y	4/28/2021	Consent Order	Referral
2021-048	Orange	3/5/2021	Y	4/21/2021	Consent Order	Referral
2021-006	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-014	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-016	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-017	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-018	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-020	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-021	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-022	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-028	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2019-041	Hartford	2/26/2019	Y	5/20/2021	Consent Order	SEEC Initiated - Non Filer
2021-007	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-008	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-009	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-035	Hartford	2/22/2021			Consent Order	SEEC Initiated - Non Filer
2021-012	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2021-001	Hartford	1/8/2021			Consent Order	SEEC Initiated - Non Filer
2021-033	Hartford	2/22/2021			Consent Order	SEEC Initiated - Non Filer
2021-025	Hartford	1/5/2021			Consent Order	SEEC Initiated - Non Filer
2018-050B&C	Hartford	6/27/2018	Y	10/7/2020	Consent Order	SEEC Initiated - Other
2014-055	Hartford	5/14/2014	Y	8/19/2020	Consent Order	SEEC Initiated - Post Election Review
2020-030	Beacon Falls	3/4/2020	Y	4/7/2021	Consent Order	Affidavit
2019-126	Bridgeport	9/24/2019	Y	1/20/2021	Consent Order	Affidavit
2016-092	Storrs Mansfield	11/14/2016	Y	9/16/2020	Consent Order	Affidavit
2019-101B	Hartford	7/19/2019	Y	10/7/2020	Consent Order	Affidavit
2019-150	Hamden	11/1/2019	Y	2/17/2021	Consent Order	Affidavit
2019-157	Middletown	11/15/2019	Y	8/5/2020	Consent Order	Affidavit
2020-044	Southington	7/24/2020	Y	12/16/2020	Consent Order	Affidavit
2020-055	Southington	9/28/2020	Y	4/7/2021	Consent Order	Affidavit
2018-121	Groton	12/12/2018	Y	2/3/2021	Consent Order	Referral
2018-082	Hartford	9/5/2018	Y	8/19/2020	Consent Order	Referral
2019-016	Hartford	2/19/2019	Y	7/1/2020	Consent Order	Referral
2019-013	Hartford	2/19/2019	Y	7/15/2020	Consent Order	Referral
2018-051A-C	Hartford	6/27/2018	Y	10/7/2020	Consent Order	SEEC Initiated - Other

2018-037	Hartford	5/16/2018	Y	9/16/2020	Consent Order	SEEC Initiated - Post Election Review
2019-105	Meriden	8/12/2019	Y	9/16/2020	Dismissal	Affidavit
2019-117	East Haven	9/4/2019	Y	10/7/2020	Dismissal	Affidavit
2019-125	North Stoningt	9/24/2019	Y	11/18/2020	Dismissal	Affidavit
2019-127	Bridgeport	9/26/2019	Y	9/16/2020	Dismissal	Affidavit
2019-128	Hartford	9/26/2019	Y	11/18/2020	Dismissal	Affidavit
2019-129	Bridgeport	9/27/2019	Y	9/16/2020	Dismissal	Affidavit
2019-130	Bridgeport	9/30/2019	Y	9/23/2020	Dismissal	Affidavit
2019-142	Bridgeport	10/23/2019	Y	10/21/2020	Dismissal	Affidavit
2019-146	Trumbull	10/25/2019	Y	1/20/2021	Dismissal	Affidavit
2020-016	Bridgeport	1/27/2020	Y	2/17/2021	Dismissal	Affidavit
2020-049	Stratfird	8/27/2020	Y	3/3/2021	Dismissal	Affidavit
2020-074	Weston	11/9/2020	Y	12/16/2020	Dismissal	Affidavit
2021-059	Simsbury	3/8/2021	Y	6/2/2021	Dismissal	Affidavit
2019-026	Hartford	2/14/2019	Y	10/7/2020	Dismissal	Referral
2020-004	Darien	1/16/2020	Y	3/17/2021	Dismissal	Referral
2020-051	Pomfret Cente	9/15/2020	Y	6/2/2021	Dismissal	Referral
2021-049	Hartford	3/17/2021	Y	4/7/2021	Dismissal	Referral
2019-086	Hartford	4/2/2019	Y	6/2/2021	Dismissal	SEEC Initiated - Non Filer
2021-004	Hartford	1/5/2021	Y	3/17/2021	Dismissal	SEEC Initiated - Non Filer
2019-032	Hartford	3/20/2019	Y	8/5/2020	Dismissal	SEEC Initiated - Other
2020-037	Windsor	4/30/2020	Y	9/16/2020	Dismissal	SEEC Initiated - Other
2014-043	Bridgeport	4/15/2014	Y	8/19/2020	Dismissal	Affidavit
2017-021	Hartford	4/12/2017	Y	11/4/2020	Dismissal	Affidavit
2019-010	Hartford	2/19/2019	Y	6/16/2021	Dismissal	Affidavit
2019-011	Hartford	2/19/2019	Y	6/16/2021	Dismissal	Affidavit
2019-136	Bridgeport	10/1/2019	Y	12/16/2020	Dismissal	Affidavit
2019-138	Stratford	10/15/2019	Y	12/16/2020	Dismissal	Affidavit
2019-154	Bridgeport	11/5/2019	Y	11/18/2020	Dismissal	Affidavit
2019-155	Oakville	11/7/2019	Y	7/1/2020	Dismissal	Affidavit
2019-156	Enfield	11/8/2019	Y	2/3/2021	Dismissal	Affidavit
2019-159	Bridgeport	11/19/2019	Y	12/16/2020	Dismissal	Affidavit
2019-174	Fairfield	12/23/2019	Y	3/3/2021	Dismissal	Affidavit
2020-029	Bridgeport	2/28/2020	Y	3/17/2021	Dismissal	Affidavit
2020-031	Colchester	3/5/2020	Y	5/5/2021	Dismissal	Affidavit
2020-032	Hamden	3/6/2020	Y	6/2/2021	Dismissal	Affidavit
2020-039	Norwich	6/12/2020	Y	7/15/2020	Dismissal	Affidavit
2020-042	Middletown	7/14/2020	Y	9/16/2020	Dismissal	Affidavit
2021-051	Middletown	3/23/2021	Y	5/5/2021	Dismissal	Affidavit
2021-054	Middletown	3/30/2021	Y	5/5/2021	Dismissal	Affidavit
2021-061	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-062	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-063	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-064	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-065	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-067	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-070	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-073	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-075	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-081	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-089	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-091	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-093	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-095	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-098	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-100	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-105	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-108	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-111	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit
2021-113	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit

2021-116	Middletown	4/13/2021	Y	5/5/2021	Dismissal	Affidavit	
2021-068	Middletown	4/13/2021	Y	5/25/2021	Dismissal	Affidavit	
2020-034	Danbury	3/16/2020	Y	9/16/2020	Dismissal	Referral	
2012-235	Fairfield	12/13/2012	Y	8/19/2020	No Further Action	Affidavit	
2019-100	New Milford	7/15/2019	Y	9/16/2020	No Further Action	Affidavit	
2019-106	Meriden	8/12/2019	Y	1/20/2021	No Further Action	Affidavit	
2019-134	East Haddam	10/9/2019	Y	1/20/2021	No Further Action	Affidavit	
2020-036	Wallingford	4/13/2020	Y	7/1/2020	No Further Action	Affidavit	
2020-035	Hartford	4/13/2020	Y	6/2/2021	No Further Action	Affidavit	
2018-049	Greenwich	6/22/2018	Y	8/5/2020	No Further Action	Referral	
2020-003	Hampton	1/9/2020	Y	8/19/2020	No Further Action	Referral	
2019-108	Stratford	8/16/2019	Y	10/21/2020	No Further Action	Affidavit	
2019-113	Coventry	8/26/2019	Y	11/4/2020	No Further Action	Affidavit	
2019-133	Stratford	10/8/2019	Y	12/16/2020	No Further Action	Affidavit	
2019-137	Stratford	10/15/2019	Y	12/16/2020	No Further Action	Affidavit	
2019-147	Hartford	10/29/2019	Y	1/20/2021	No Further Action	Affidavit	
2020-011	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-012	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-013	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-014	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-015	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-017	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-018	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-019	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-020	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-021	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-022	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-023	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2020-024	Bridgeport	1/27/2020	Y	3/3/2021	No Further Action	Affidavit	
2021-046	Riverside	3/12/2021	Y	4/7/2021	No Further Action	Affidavit	
2019-005	Killingworth	2/11/2019	Y	8/19/2020	No Further Action	Referral	
2019-007	Hartford	2/19/2019	Y	7/15/2020	No Further Action	Referral	
2019-008	Hartford	2/19/2019	Y	7/15/2020	No Further Action	Referral	
2018-120	Terryville	12/19/2018	Y	8/19/2020	No Further Action	SEEC Initiated - Other	
2014-057	Hartford	5/14/2014	Y	5/5/2021	No Further Action	SEEC Initiated - Post Election Review	
2018-123	Hartford	9/22/2018	Y	1/20/2021	No Further Action	SEEC Initiated - Post Election Review	
2019-132	Shelton	10/7/2019	Y	2/17/2021	Other	Affidavit	
2019-145A&B	Trumbull	10/25/2019	Y	1/20/2021	Other	Affidavit	
2020-002	Bridgeport	1/13/2020	Y	6/2/2021	Other	Affidavit	
2020-041	Hartford	7/2/2020	Y	8/5/2020	Other	Referral	
2019-084	Hartford	2/2/2019	Y	4/26/2021	Other	SEEC Initiated - Non Filer	
2019-040	Hartford	11/23/2018	Y	4/29/2021	Other	SEEC Initiated - Non Filer	
2019-044	Hartford	2/26/2019	Y	4/29/2021	Other	SEEC Initiated - Non Filer	
2019-095	Hartford	4/8/2019	Y	4/29/2021	Other	SEEC Initiated - Non Filer	
2020-025	Beacon Falls	1/27/2020	Y	2/17/2021	Other	Affidavit	
2019-109	New Britain	8/19/2019	Y	2/17/2021	Other	Affidavit	
2018-060	Hartford	8/8/2018	Y	8/5/2020		Affidavit	
2019-038	Bridgeport	3/29/2019	Y	9/2/2020		Affidavit	
2019-148	Hartford	10/29/2019	Y	1/20/2021		Affidavit	
2019-149	Hartford	10/29/2019	Y	1/20/2021		Affidavit	
2019-158	Darien	11/19/2019	Y	2/3/2021		Affidavit	
2020-079	Orange	11/19/2020	Y	12/16/2020		Affidavit	
2019-119	Winsted	9/10/2019	Y	10/7/2020		Referral	