



**STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION**
55 Farmington Avenue, Hartford, Connecticut 06105



2021 Filing Calendar

**Candidate Committees
Organized for the November 2, 2021 Municipal Election
(September 14, 2021 Primary)**
General Statutes § 9-608

Type of Report	Period Covered	Filing Deadline
January 10 Filing	10/01/20 through 12/31/20	01/11/21
April 10 Filing	01/01/21 through 03/31/21	04/12/21
July 10 Filing	04/01/21 through 06/30/21	07/12/21
7th Day Preceding Primary Filing Committees of candidates in a primary	07/01/21 through 09/05/21	09/07/21 ¹
October 10 Filing Committees of candidates in general election	09/06/21 through 09/30/21 Committees of candidates in general election who were in a primary 07/01/21 through 09/30/21 Committees of candidates in general election who were not in a primary	10/12/21 ²
30 Days Following Primary Filing Committees of candidates unsuccessful in a primary	09/02/21 through 09/30/21	10/12/21 ³
7th Day Preceding Election Filing Committees of candidates in general election	10/01/21 through 10/24/21	10/26/21 ²
Deficit Filing Committees of candidates unsuccessful in a primary	10/01/21 through 12/06/21	12/13/21 ⁴
Termination Filing Committees of candidates unsuccessful in a primary	10/01/21 through 12/13/21	12/20/21 ⁵
January 10 Filing	10/25/21 through 12/31/21	01/10/22 ⁶
Deficit Filing General Election candidate committees	01/01/22 through 01/31/22	02/07/22 ⁷
Termination Filing General Election candidate committees	01/01/22 through 03/31/22	04/07/22 ⁸

Which Form to File: Committees may use the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) when they have not received funds or made or incurred expenditures in excess of \$1,000 from their inception through the close of the reporting period covered by the statement and have not previously filed an “Itemized Campaign Finance Disclosure Statement” (SEEC Form 20). Otherwise, they must use the SEEC Form 20. Committees should keep in mind that if they opt to file SEEC Form 21’s, they will still be required to report *all* activity since inception if and when they receive contributions or make expenditures exceeding the \$1,000 threshold. Reaching the threshold triggers the requirement to file an itemized statement (a “long form” SEEC Form 20).

Type of Report: The “Type of Report” corresponds to the financial disclosure reports required by General Statutes § 9-608 (a).

Period Covered: The “Period Covered” means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the April 10 filing covers all activity starting at 12:00 a.m. on January 1 and ending at 11:59 p.m. on March 31. If the committee came into existence after the beginning of the period covered by the statement, then the period covered must begin on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest). Also, if the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) was previously used, then the committee’s first filed itemized statement (SEEC Form 20) must cover a period that begins with the committee’s date of inception as the start date.

Filing Deadline: The “Filing Deadline” is the last date on which the committee treasurer may submit his or her disclosure filing. In addition, the disclosure filing *may not be submitted or received* on or before the last day in the period covered. For example, the April 10 filing may not be submitted or received on March 31 or earlier, since it must cover the period ending on March 31. The earliest this report may be submitted or received is April 1. Statements filed after the applicable deadline of the filing period will be subject to a mandatory \$100 late fee.

When and Where to File: All candidate committees of candidates running for municipal office file disclosure statements with their local town clerk. Statements are considered timely filed if they are either postmarked by the United States Postal Service on or before the required filing deadline date or delivered by hand to the town clerk by the close of business on or before the required filing deadline date. You should contact the town clerk’s office to find out its business hours.

NOTE: Self-funded candidates who have filed a 1B exemption (and therefore do not have a candidate committee) and have made or incurred expenditures over \$1,000 in the aggregate file the SEEC Form 23 in accordance with the same schedule as that of a candidate committee.

NOTE: Candidate committees of candidates who never make it onto the ballot but remain open are required to file all quarterly reports and submit deficit filings or a termination filing, as the case may be, in accordance with footnotes 7 and 8. Please call the Commission if you need further guidance.

Footnotes:

1. Only committees of candidates in a primary must file on this date.
2. A candidate committee of an unsuccessful candidate in the primary whose name is not eligible to appear on the general election ballot is not required to file this statement.
3. Any committee of an unsuccessful candidate in the primary that has eliminated any deficit or distributed any surplus may terminate at or before this time by filing a Termination Report in lieu of this report. While the 30 days following the primary filing is technically required of all primary participants, candidates who were successful in the primary will have covered the relevant period in their October quarterly statement and therefore do not have to file this statement.
4. The committee of a candidate who was not successful in the primary, which still has a deficit as of December 13, 2021 (the 90th day following the September 14, 2021 primary) must file this report, and must thereafter submit a deficit filing on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last deficit filing. A termination filing may be submitted in lieu of a deficit filing if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the termination filing.

5. The committee of a candidate who was not successful in the primary and which had a surplus that was not yet reported as distributed must distribute or expend its surplus by December 13, 2021 (the 90th day following the September 14, 2021 primary) and submit a termination filing by December 20, 2021.
6. A candidate committee that is ready to terminate at this time may submit a termination filing in lieu of this report. Keep in mind, however, that any candidate committee which has distributed its surplus by December 31, 2021 would have already submitted its termination filing because it is required to terminate within seven days of such distribution. Such a committee that has already filed a termination statement would therefore not need to file the January 10 statement.
7. A candidate committee of a candidate on the ballot for the November election which still has a deficit as of February 7, 2022 must submit a deficit filing by February 7, 2022 and must thereafter submit a deficit filing on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last deficit filing. A termination filing may be submitted in lieu of a deficit filing if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the termination filing.
8. A candidate committee of a candidate on the ballot for the November election must distribute or expend any surplus by March 31, 2022 and submit a termination filing by April 7, 2022 (unless it has begun filing deficit reports). Keep in mind that any candidate committee which has distributed its surplus before March 31, 2022 must terminate within seven days of such distribution. Accordingly, such committee would have already submitted its termination filing and would not need to submit the April 7 termination filing.

If you have any questions about filing requirements, need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the General Statutes, the Statutes shall prevail.