



STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION
 55 Farmington Ave - - Hartford, Connecticut 06105

2022 Filing Calendar

**Durational Independent Expenditure Political Committees
 (including Referendum Committees)
 Formed for the November 8, 2022 Election**

General Statutes § 9-608

IMPORTANT NOTE: Listed below are the standard filings required of durational independent expenditure political committees. If the committee **makes or incurs independent expenditures totaling over \$1,000 for one or more General Assembly or statewide office candidates after the applicable convention, caucus, or town meeting is held to endorse candidates**, the committee is required to report such expenditures within **24 hours** of the expenditures being made or incurred. Such filings will affect the periods covered of the standard reports listed below. These standard reports are still required to be filed unless the period required to be covered by them is covered by a 24-hour independent expenditure report that has already been submitted.

| Type of Report | Period Covered | Filing Deadline |
|--|--|-----------------------|
| January 10 Filing | 10/01/21 through 12/31/21 | 01/10/22 |
| | | |
| April 10 Filing | 01/01/22 through 03/31/22 | 04/11/22 |
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| July 10 Filing | 04/01/22 through 06/30/22 | 07/11/22 |
| | | |
| 7 th Day Preceding Primary Filing August 9, 2022 Primary | 07/01/22 through 07/31/22 | 08/02/22 ¹ |
| | | |
| October 10 Filing | 08/01/22 through 09/30/22 Committees that filed 7 th Day Preceding Primary filing ----- 07/01/22 through 09/30/22 Committees that did not file 7 th Day Preceding Primary filing | 10/11/22 |
| | | |
| 7 th Day Preceding General Election or Referendum Filing November 8, 2022 Election | 10/01/22 through 10/30/22 | 11/01/22 ² |
| | | |
| January 10 Filing | 10/31/22 through 12/31/22 | 01/10/23 ³ |
| | | |
| Deficit Filing Following General Election or Referendum | 01/01/23 through 01/31/23 | 02/07/23 ⁴ |
| | | |
| Termination Filing Following General Election or Referendum | 01/01/23 through 03/31/23 | 04/07/23 ⁵ |

24-Hour Reporting:

If, after the convention, caucus, or town committee meeting is held for the purpose of endorsing candidates, your committee makes or obligates to make **an independent expenditure for one or more General Assembly or statewide office candidates that in the aggregate exceed \$1,000**, the committee must file report within **24 hours** of making or obligating to make the expenditure. The period covered will be the first day not covered on the last filed campaign disclosure statement through the day the expenditure was made or incurred.

Other Filings:

In addition, if your committee receives a contribution or makes an expenditure in connection with a local primary or election or a local or regional referendum/ballot question, you are required to file a financial statement on the 7th day preceding the primary, election, or referendum/ballot question. The period covered will be the first day not covered on the last filed campaign finance disclosure statement through the 9th day preceding the referendum, primary or election.

How to File: Effective July 1, 2017, all political committees registered with the Commission must file electronically by eCRIS unless (1) they have not raised or spent \$1,000 or more during the current calendar year and have not raised or spent \$1,000 or more in the preceding regular election cycle; or (2) they can demonstrate good cause for not being able to comply with the requirement, as determined by the Commission. Committees seeking to apply for a written waiver from the electronic filing requirement should contact Commission staff. Committees registered at the local level must file by paper.

Which Form to File: Committees may use the “Short Form Campaign Finance Disclosure Statement” (SEEC Form 21) when they have not received funds or made or incurred expenditures in excess of \$1,000 from their inception through the close of the reporting period covered by the statement and have not previously filed an “Itemized Campaign Finance Disclosure Statement” (SEEC Form 40).

Committees should keep in mind that if they opt to file SEEC Form 21’s, they will still be required to report *all* activity since inception if and when they receive contributions or make or incur expenditures exceeding the \$1,000 threshold. Reaching the threshold triggers the requirement to file an itemized statement (a “long form” SEEC Form 40). In the case of eCRIS users filing their first long form after having filed one or more SEEC Form 21’s, the committee will be required to: (1) amend the SEEC Form 21 covering the period in which the first financial activity of the committee occurred by amending the SEEC Form 21 to change it to a SEEC Form 40 and reporting that financial activity; (2) amend all subsequent SEEC Form 21’s submitted after that initial SEEC Form 21 to change them to SEEC Form 40’s and report any activity in those periods and also correct the summary totals, regardless of whether activity occurred in that particular period; and (3) after this “look back” reporting has been completed in eCRIS, submit the itemized statement (SEEC Form 40), covering the period laid out in the above calendar. If the committee is not filing by eCRIS, then its first filed itemized statement (SEEC Form 40), if applicable, must cover a period that begins with the committee’s date of inception as the start date.

Type of Report: The “Type of Report” corresponds to the financial disclosure reports required by General Statutes § 9-608 (a). On eCRIS, all of the filings listed on this calendar are available on the standard reports dropdown menu. If a committee wishes to terminate prior to when the standard termination report is due, it may do so by selecting a termination report in the nonstandard reports dropdown menu where it will be able to set the appropriate dates.

Period Covered: The “Period Covered” means the dates for which the committee must report all of its financial activity. The period covered must include the financial activity of the committee beginning the first day not included on the last filed financial disclosure statement and must be complete through 11:59 p.m. of the date of the last day covered. For example, the April 10 filing covers all activity starting at 12:00 a.m. on January 1 and ending at 11:59 p.m. on March 31. If the committee came into existence after the beginning of the period covered by the statement, then the period covered must begin on the date the committee first received funds, the date the committee first made or incurred expenditures, or the date the committee registered (whichever is earliest).

Filing Deadline: The “Filing Deadline” is the last date on which the committee treasurer may submit his or her disclosure filing. In addition, the disclosure filing *may not be submitted or received* on or before the last day in the period covered. For example, the April 10 filing may not be submitted or received by the filing repository on March 31 or earlier, since it must cover the period ending on March 31. The earliest this report may be submitted or received is April 1. Statements not timely filed will be subject to a mandatory \$100 late fee.

Where and When to File: Statements are to be filed with the repository with which the committee is registered. If the committee’s filing repository is the State Elections Enforcement Commission, then statements are considered timely filed if they are filed electronically via eCRIS before midnight on or before the filing deadline date or, for those permitted to file by paper, if they are **received** by the Commission’s offices after the filing period has begun and by 5:00 p.m. on the filing deadline date if delivered by the United States Postal Service, courier service, parcel service or hand delivery. **Committees seeking confirmation of receipt should check their committee’s filing status on [eCRIS’s Document/Filing Search](#).**

If the committee’s filing repository is the town clerk, then statements are considered timely filed if they are either postmarked by the United States Postal Service before midnight on or before the required filing deadline date or delivered by hand to the town clerk by the close of business on or before the required filing deadline date. Some town clerk’s offices may not have office hours or may have shortened office hours on

a filing deadline day. This does not relieve the treasurer of filing by the deadline, so be sure to confirm the office hours of the town clerk if delivery by hand is anticipated.

Footnotes:

1. This report is required of all durational political committees that have received contributions or made or incurred expenditures, in any amount, in connection with a primary. The period covered ends **two days** before the filing deadline. Referendum committees, and other durational political committees that did not receive, spend, or incur in connection with a primary, need not file this report.
2. This report is required of **all** open durational political committees, regardless of whether or not the committee made contributions or expenditures in connection with the election, or referendum in the case of referendum committees. The period covered ends **two days** before the filing deadline.
3. A durational political committee that is ready to terminate at this time may file a Termination Report in lieu of this report. Keep in mind, however, that any committee which has distributed its surplus on or before December 31, 2022 would have already filed its Termination Report because it is required to terminate within seven days of surplus distribution. Such a committee that has already filed a termination statement would therefore not need to file the January quarterly report.
4. Any durational political committee organized for the November election or referendum which still has a deficit as of February 7, 2023 must file a Deficit Report by February 7, 2023 and must thereafter file a Deficit Report on the 7th day of each month in which there was either an increase or decrease of more than \$500 as of the last day of the month preceding the filing measured against the amount of the deficit reported on the last Deficit Report filed. A Termination Report may be filed in lieu of a Deficit Report if the deficit has been erased and any surplus has been distributed by the last day of the month preceding the filing of a Termination Report.
5. A durational political committee must distribute or expend its surplus by March 31, 2023 and file a Termination Report by April 7, 2023 (unless it has begun filing deficit reports). Any durational political committee which has distributed its surplus before March 31, 2023 must terminate within seven days of such distribution. Accordingly, such committee would have already filed its Termination Report and would not need to file the April 7 Termination Report.

If you have any questions about filing requirements, or need additional forms, have questions about how to report certain contributions and expenditures, or have questions about other campaign finance laws, please call the State Elections Enforcement Commission at (860) 256-2940 or (866) SEEC-INFO.

PLEASE NOTE: Every effort has been made to ensure that the information contained in this calendar is accurate. If any information in this calendar conflicts with the provisions of the Statutes, the Statutes shall prevail.