

STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION

In Re: SEEC Initiated Investigation of Regina Roundtree

File No. 2015-004

FINDINGS AND CONCLUSIONS

The Respondent, Regina Roundtree of Farmington, CT, violated General Statutes § 9-606 (d) by serving as treasurer of a committee while the Respondent owed a civil penalty for a violation of General Statutes Chapter 155 and as further described in the attached Cease and Desist Order (Attachment A).

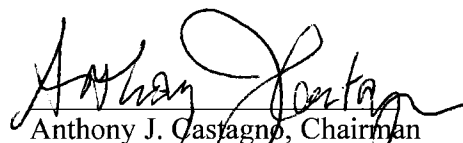
The Commission chooses to close the matter without further action due to the following: (1) the Respondent complied with such order within one day of issuance by the Commission; and (2) during the period in which the Respondent was prohibited from serving as treasurer, the relevant committee reports reflect no financial activity. As the committee had no funds, the committee was terminated with a zero balance and without the need to distribute any funds.

ORDER

The following Order is recommended on the basis of the aforementioned findings:

That the matter be closed without further action.

Adopted this 18 day of MAY, 2015 at Hartford, Connecticut.


Anthony J. Castagno, Chairman
By Order of the Commission

STATE OF CONNECTICUT
STATE ELECTIONS ENFORCEMENT COMMISSION

SEEC File No. 2015-004

March 17, 2015

Regina V. Roundtree
18 Lake Garda Drive
Unionville, CT 06085

Dear Ms. Roundtree:

State Elections Enforcement Commission (SEEC) records reflect that you have failed to pay the \$1,500.00 civil penalty imposed by the Final Decision and Order in SEEC File No. 2014-062NF for a violation of Chapter 155. Pursuant to General Statutes § 9-606 (d) any individual with an outstanding civil penalty for a violation of Chapter 155 is prohibited from acting as treasurer or deputy treasurer of any committee. SEEC records reflect you are currently serving as treasurer of the CT Black Republicans and Conservatives, a political committee registered with the SEEC (the "Committee").

Accordingly, pursuant to General Statutes § 9-7b (a) (3) (F), you are hereby ordered to:

**CEASE AND DESIST FROM SERVING AS TREASURER OF THE CT BLACK
REPUBLICANS AND CONSERVATIVES POLITICAL COMMITTEE AND RESIGN AS
TREASURER IMMEDIATELY.**

Your failure to resign as treasurer of the Committee represents an additional violation of General Statutes § 9-606 (d) with a further liability of no less than \$2,000.00. Your resignation as treasurer of the Committee shall only be effective with either a properly executed amendment to the Committee's registration filed with the SEEC or a written resignation filed with the SEEC.

As you are also the chairperson of the Committee, General Statutes § 9-602 (c) requires you to designate a successor campaign treasurer and file such designation with the SEEC not more than ten days after the filing of a treasurer resignation. Any such replacement shall only be effective upon a properly executed amendment to the Committee's registration (SEEC Form 2) filed with the SEEC. As chairperson should you fail to so fill such a vacancy by such deadline you shall incur a further additional liability of no less than \$2,000.00. Accordingly, you may wish to consider terminating the Committee by filing a termination report (SEEC Form 20 or 21).

If you require any assistance coming into compliance with the above Order or in lawfully terminating the Committee, please contact the SEEC's compliance unit at (860) 256-2925 or seec.compliance@ct.gov.



Anthony J. Castagno, Chairman
By Order of the Commission

I certify that the preceding Order was issued to Regina V. Roundtree, 18 Lake Garda Rive, Unionville, CT first-class mail and certified mail on March 23, 2015.