

**SEEC FORM CEP 10**

**Citizens' Election Program-Affidavit of Intent to Abide**  
**CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION**  
 Revised January 2016  
 Page 1 of 4



For Internal Use ONLY

CODE:  REVIEWED BY: RL

Mailed  Hand Delivered

**AFFIDAVIT TYPE**

INITIAL

AMENDED

*Statewide and General Assembly Candidates*

The candidate and the campaign treasurer must each review all information provided on this Affidavit, and sign or initial the designated lines requiring signatures or initials. Any deputy treasurer designated by the candidate must complete and sign the Deputy Treasurer Affidavit. This Affidavit must be completed, signed, and received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date as set forth in subsection (a) of section 9-703 of the Connecticut General Statutes.

**SECTION A. Identifying Information**

<b>1. ELECTION DATE</b> (mm/dd/yyyy) 11/06/2018	<b>2. OFFICE SOUGHT</b> State Representative	<b>3. DISTRICT NUMBER</b> (If applicable) 38
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**4. CANDIDATE NAME**

First Name Nicholas	MI M	Last Name Gauthier	Suffix
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**5. COMMITTEE NAME**

Nick Gauthier For Connecticut

**6. PARTY STATUS (How the candidate qualified or will qualify for the ballot in this election cycle)**

Major Party Name of party Democratic  
 [Primary (whether endorsed or petitioning to trigger Primary) or General Election]

Minor Party Name of party \_\_\_\_\_

Petitioning Name of party (if affiliated) \_\_\_\_\_  
 [on to the General Election ballot]

**7. TREASURER NAME**

First Name Joseph	MI M	Last Name Filipetti	Suffix
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**8. DEPUTY TREASURER NAME**

First Name	MI	Last Name	Suffix
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**NOTICE FOR SEEC FORM CEP 10**

Pursuant to General Statutes § 9-706 (a) (5), a candidate may not apply for a Citizens' Election Program grant if such candidate has been convicted of or plead guilty or nolo contendere to, in a court of competent jurisdiction, any (A) criminal offense under Title Nine of the General Statutes (Elections) unless at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without subsequent conviction of or plea to another such offense, or (B) a felony related to the individual's public office, other than an offense under Title Nine. Furthermore, the candidate must certify in the grant application that all outstanding civil penalties or forfeitures assessed pursuant to chapters 155 to 157 of the General Statutes (Campaign Finance), against the current or any former committee of the candidate have been paid, provided (A) in the case of any candidate seeking nomination for or election to statewide office, any such penalty or forfeiture was assessed not later than twenty-four months prior to the submission of the application; or (B) in the case of any candidate seeking nomination for or election to the General Assembly, any such penalty or forfeiture was assessed not later than twelve months prior to the submission of an application.

*Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.*

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**SECTION B. Candidate Certification**

The candidate must read each paragraph below and indicate agreement to each numbered paragraph by writing HIS/HER INITIALS AT EACH NUMBERED SPACE BELOW. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date if you elect to be a participating candidate.

- 1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I intend to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes and that I understand my obligation to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in General Statutes § 9-702 (c). I further affirm, certify, and state that I have thus far abided by the Program's expenditure limits and that my candidate committee has not made expenditures in excess of the expenditure limits applicable to the office I am seeking, as set forth in General Statutes § 9-702 (c).
- 2. I certify that my candidate committee will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607 (g), as amended, and with the regulations adopted by the State Elections Enforcement Commission ("SEEC") under General Statutes § 9-706 (e). I certify that I understand that I am personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of General Statutes § 9-607 (g), as amended, and with any regulations adopted by the SEEC under General Statutes § 9-706 (e).
- 3. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the \$100 contribution limit set forth in Chapter 157 of the General Statutes. I further understand that prior to applying for a grant I must return any contributions from any sources not authorized under Chapter 157, and I must transmit any excess qualifying contributions to the Citizens' Election Fund.
- 4. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in General Statutes § 9-710.
- 5. I certify that I understand that I am required to comply with the requirements of the Program, including all Connecticut statutes, regulations and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all statutes and regulations may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable, with the treasurer of my candidate committee, for paying any excess expenditure in accordance with General Statutes § 9-711 (a) (1).
- 6. I certify that I understand that if my candidate committee exceeds any applicable expenditure limit during the period in which I am seeking qualifying contributions and before my candidate committee receives a grant, my grant application will not be approved, and penalties may be assessed for not abiding by the expenditure limit.
- 7. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to General Statutes § 53a-157b (a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

I hereby swear, under penalty of false statement, that the above certifications are true and complete to the best of my knowledge and belief.

*Michael J. [Signature]*  
CANDIDATE SIGNATURE

03/30/2018  
DATE (mm/dd/yyyy)

Sworn and subscribed before me on this 30 day of March, 2018

SIGNATURE OF PERSON ADMINISTERING THE OATH

NAME OF PERSON ADMINISTERING THE OATH (Please Print)

Commissioner of Superior Court  Notary Public — My Commission Expires \_\_\_\_\_

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.



**SECTION C. Treasurer Certification**

The campaign treasurer must read each paragraph below and indicate agreement to each numbered paragraph by writing HIS/HER INITIALS AT EACH NUMBERED SPACE BELOW. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date if the candidate elects to be a participating candidate.

- 1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I understand that the candidate named in Section A intends to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes and that I understand my obligation as such candidate's designated treasurer to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in General Statutes § 9-702 (c). I further affirm, certify, and state that I have thus far abided by the Program's expenditure limits and that the candidate committee named in Section A, for which I am the designated treasurer, has not made expenditures in excess of the expenditure limits applicable to the office the candidate is seeking, as set forth in General Statutes § 9-702 (c).
- 2. I certify as the treasurer of the candidate committee named in Section A that I will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607 (g), as amended, together with the regulations adopted by the State Elections Enforcement Commission ("SEEC") under General Statutes § 9-706 (e). I certify that I understand that the candidate is personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of General Statutes § 9-607 (g), as amended, together with any regulations adopted by the SEEC under General Statutes § 9-706 (e).
- 3. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the \$100 contribution limit set forth in Chapter 157 of the General Statutes. I further understand that prior to applying for a grant I must return any contributions from any sources not authorized under Chapter 157, and I must transmit any excess qualifying contributions to the Citizens' Election Fund.
- 4. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in General Statutes § 9-710.
- 5. I certify that I understand that I am required to comply with the requirements of the Program, including all applicable statutes, regulations and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable with the candidate for paying any excess expenditure in accordance with General Statutes § 9-711 (a).
- 6. I certify that I understand that if the candidate committee exceeds any applicable expenditure limit during the period in which the candidate is seeking qualifying contributions and before the candidate committee receives a grant, the grant application will not be approved, and penalties may be assessed for not abiding by the expenditure limit.
- 7. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to General Statutes § 53a-157b (a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

I hereby swear, under penalty of false statement, that the above certifications are true and complete to the best of my knowledge and belief.

[Signature]  
TREASURER SIGNATURE

3/27/18  
DATE (mm/dd/yyyy)

Sworn and subscribed before me on this 27 day of March, 20 18

Kiana Cabrera  
SIGNATURE OF PERSON ADMINISTERING THE OATH

Kiana Cabrera  
NAME OF PERSON ADMINISTERING THE OATH (Please Print)

Commissioner of Superior Court  Notary Public — My Commission Expires 01/31/23