

SEEC FORM CEP 10

Citizens' Election Program-Affidavit of Intent to Abide
 CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION
 Revised May 2018
 Page 1 of 7



188976

For Internal Use ONLY

CODE: REVIEWED BY: *PL*

Mailed Hand Delivered

AFFIDAVIT TYPE

INITIAL
 AMENDED

**AFFIDAVIT OF INTENT TO ABIDE BY EXPENDITURE LIMITS AND
 OTHER CITIZENS' ELECTION PROGRAM REQUIREMENTS**

Statewide and General Assembly Candidates

The candidate and the campaign treasurer must each review all information provided on this Affidavit, and sign or initial the designated lines requiring signatures or initials. Any deputy treasurer designated by the candidate must complete and sign the Deputy Treasurer Affidavit. This Affidavit must be completed, signed, and received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date as set forth in subsection (a) of section 9-703 of the Connecticut General Statutes.

SECTION A. Identifying Information

1. ELECTION DATE (mm/dd/yyyy) <i>11/06/2018</i>	2. OFFICE SOUGHT <i>Comptroller</i>	3. DISTRICT NUMBER (If applicable)
--	---	--

4. CANDIDATE NAME			
First Name <i>Kurt</i>	MI	Last Name <i>Miller</i>	Suffix

5. COMMITTEE NAME <i>Kurt for CT</i>
--

6. PARTY STATUS (How the candidate qualified or will qualify for the ballot in this election cycle)	
<input checked="" type="checkbox"/> Major Party	Name of party <u><i>Republican</i></u> [Primary (whether endorsed or petitioning to trigger Primary) or General Election]
<input type="checkbox"/> Minor Party	Name of party _____
<input type="checkbox"/> Petitioning	Name of party (if affiliated) _____ [on to the General Election ballot]

2018 JUL 20 AM 11:23 RECEIVED

7. TREASURER NAME			
First Name <i>John</i>	MI <i>P.</i>	Last Name <i>Maini</i>	Suffix

8. DEPUTY TREASURER NAME			
First Name <i>Sheila</i>	MI	Last Name <i>M. O'Malley</i>	Suffix

NOTICE FOR SEEC FORM CEP 10

Pursuant to General Statutes § 9-706 (a) (5), a candidate may not apply for a Citizens' Election Program grant if such candidate has been convicted of or plead guilty or nolo contendere to, in a court of competent jurisdiction, any (A) criminal offense under Title Nine of the General Statutes (Elections) unless at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without subsequent conviction of or plea to another such offense, or (B) a felony related to the individual's public office, other than an offense under Title Nine. Furthermore, the candidate must certify in the grant application that all outstanding civil penalties or forfeitures assessed pursuant to chapters 155 to 157 of the General Statutes (Campaign Finance), against the current or any former committee of the candidate have been paid, provided (A) in the case of any candidate seeking nomination for or election to statewide office, any such penalty or forfeiture was assessed not later than twenty-four months prior to the submission of the application; or (B) in the case of any candidate seeking nomination for or election to the General Assembly, any such penalty or forfeiture was assessed not later than twelve months prior to the submission of an application.

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.



SECTION B. Candidate Certification

The candidate must read each paragraph below and indicate agreement to each numbered paragraph by writing HIS/HER INITIALS AT EACH NUMBERED SPACE BELOW. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date if you elect to be a participating candidate.

- WCM
Initials
1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I intend to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes and that I understand my obligation to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in General Statutes § 9-702 (c).
- WCM
Initials
2. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the General Statutes. I further understand that prior to applying for a grant, I must transmit any excess qualifying contributions to the Citizens' Election Fund.
- WCM
Initials
3. I certify that either my committee has not received any impermissible monetary or in-kind contributions (including coordinated expenditures) from any committee or person, or, if my committee has received any impermissible monetary or in-kind contributions (including coordinated expenditures), the committee has addressed this with Commission staff and has properly refunded or disgorged (or taken any other applicable steps), and disclosed all such contributions. I further certify that my committee will not receive any such contributions (including coordinated expenditures) which are prohibited by the Program. I certify that I understand that the definition of independent expenditure means an expenditure that is wholly or totally made without the consent, coordination, or consultation of a candidate or committee or agent of the candidate or committee.
- WCM
Initials
4. I certify that I have not solicited or received any contributions for any committee to benefit my candidacy, or authorized any other person to solicit or receive such contributions for any committee, other than qualifying contributions for my candidate committee, and that I will not solicit or receive any contributions for any committee to benefit my candidacy, or authorize any other person to solicit or receive such contributions for any committee, other than qualifying contributions for my candidate committee.
- WCM
Initials
5. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in General Statutes § 9-710.
- WCM
Initials
6. I certify that I understand that if I have established or control a political committee (i.e., a personal PAC), this political committee cannot make any contributions or expenditures to promote or benefit my campaign.
- WCM
Initials
7. I certify that I have thus far abided by the Program's expenditure limits and that my candidate committee has not made expenditures in excess of the expenditure limits applicable to the office I am seeking, as set forth in General Statutes § 9-702 (c). I further certify that I understand that if my candidate committee exceeds any applicable expenditure limit during the period in which I am seeking grant monies, grant monies will not be released and penalties may be assessed for not abiding by the expenditure limit.

Candidate Certification continued on page 3



SECTION B. Candidate Certification *continued*

WEM
Initials 8. I certify that my candidate committee will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607 (g) and with the regulations adopted by the State Elections Enforcement Commission ("SEEC") under General Statutes § 9-706 (e). I certify that I understand I am personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of General Statutes § 9-607 (g) and with any regulations adopted by the SEEC under General Statutes § 9-706 (e).

WEM
Initials 9. I certify that I understand I am required to comply with the requirements of the Program, including all Connecticut statutes, regulations and declaratory rulings. I certify I understand that my failure to abide by the requirements of all statutes and regulations may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the General Statutes. I certify that I understand I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable, with the treasurer of my candidate committee, for paying any excess expenditure in accordance with General Statutes § 9-711 (a) (1).

WEM
Initials 10. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to General Statutes § 53a-157b (a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

I hereby swear, under penalty of false statement, that the above certifications are true and complete to the best of my knowledge and belief.

[Handwritten Signature]

CANDIDATE SIGNATURE

7-19-18

DATE (mm/dd/yyyy)

Sworn and subscribed before me on this *19th* day of *July*, 20 *18*

Seal

[Handwritten Signature]

SIGNATURE OF PERSON ADMINISTERING THE OATH

John F. Marini

NAME OF PERSON ADMINISTERING THE OATH (Please Print)

Commissioner of Superior Court Notary Public — My Commission Expires _____

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.



SECTION C. Treasurer Certification

The campaign treasurer must read each paragraph below and indicate agreement to each numbered paragraph by writing HIS/HER INITIALS AT EACH NUMBERED SPACE BELOW. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date, or the twenty-fifth (25th) day before the special election date if the candidate elects to be a participating candidate.

APM
Initials

1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I understand that the candidate named in Section A intends to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes and that I understand my obligation as such candidate's designated treasurer to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in General Statutes § 9-702 (c).

APM
Initials

2. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the General Statutes. I further understand that prior to applying for a grant, I must transmit any excess qualifying contributions to the Citizens' Election Fund.

APM
Initials

3. I certify that either the candidate committee named in Section A has not received any impermissible monetary or in-kind contributions (including coordinated expenditures) from any committee or person, or, if the candidate committee named in Section A has received any impermissible monetary or in-kind contributions (including coordinated expenditures), the committee has addressed this with Commission staff and has properly refunded or disgorged (or taken any other applicable steps), and disclosed all such contributions. I further certify that the candidate committee named in Section A will not receive any such contributions (including coordinated expenditures) which are prohibited by the Program. I certify that I understand that the definition of independent expenditure means an expenditure that is wholly or totally made without the consent, coordination, or consultation of a candidate or committee or agent of the candidate or committee.

APM
Initials

4. I certify that I have not solicited or received any contributions to benefit the candidacy of the candidate who formed the candidate committee named in Section A, or authorized any other person to solicit or receive such contributions, other than qualifying contributions for the candidate committee named in Section A, and that I will not solicit or receive any contributions other than qualifying contributions for the candidate committee named in Section A.

APM
Initials

5. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in General Statutes § 9-710.

APM
Initials

6. I certify that I understand that if I have established or control a political committee, such political committee cannot make any contributions or expenditures to promote or benefit the candidate committee named in Section A.

APM
Initials

7. I certify that I have thus far abided by the Program's expenditure limits and that the candidate committee named in Section A, for which I am the designated treasurer, has not made expenditures in excess of the expenditure limits applicable to the office the candidate is seeking, as set forth in General Statutes § 9-702 (c). I further certify that I understand that if the candidate committee exceeds any applicable expenditure limit during the period in which the candidate is seeking grant monies, grant monies will not be released and penalties may be assessed for not abiding by the expenditure limit.

Treasurer Certification continued on page 5



SECTION C. Treasurer Certification *continued*

APM
Initials

8. I certify as the treasurer of the candidate committee named in Section A that I will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607 (g) and with the regulations adopted by the State Elections Enforcement Commission ("SEEC") under General Statutes § 9-706 (e). I certify that I understand that the candidate is personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of General Statutes § 9-607 (g) and with any regulations adopted by the SEEC under General Statutes § 9-706 (e).

APM
Initials

9. I certify that I understand that I am required to comply with the requirements of the Program, including all applicable statutes, regulations and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable with the candidate for paying any excess expenditure in accordance with General Statutes § 9-711 (a).

APM
Initials

10. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to General Statutes § 53a-157b (a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

I hereby swear, under penalty of false statement, that the above certifications are true and complete to the best of my knowledge and belief.

[Handwritten Signature]

TREASURER SIGNATURE

7/18/2018
DATE (mm/dd/yyyy)

Sworn and subscribed before me on this 19th day of July, 2018 Seal

[Handwritten Signature]

SIGNATURE OF PERSON ADMINISTERING THE OATH

Janet Vitarus Waugh
NAME OF PERSON ADMINISTERING THE OATH (Please Print)

Commissioner of Superior Court Notary Public — My Commission Expires _____

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.

Janet Vitarus Waugh
NOTARY PUBLIC
My Commission Expires July 31, 2018



SECTION D. Deputy Treasurer Certification

The deputy treasurer must read each paragraph below and indicate agreement to each numbered paragraph by writing HIS/HER INITIALS AT EACH NUMBERED SPACE BELOW. Affidavits missing initials for any item will not be deemed complete. A completed affidavit must be received by the State Elections Enforcement Commission no later than 4:00 p.m. on the twenty-fifth (25th) day before the primary date, if applicable, the fortieth (40th) day before the election date or the twenty-fifth (25th) day before the special election date if the candidate elects to be a participating candidate.

In the event I become responsible for discharging any of the duties required of the treasurer:

SNO

Initials

1. I certify that I am over 18 years of age and believe in and understand the obligation of an oath. I hereby affirm, certify, and state that I understand that the candidate named in Section A intends to participate in the Citizens' Election Program (the "Program") established by Chapter 157 of the Connecticut General Statutes and that I understand my obligation as such candidate's designated deputy treasurer to abide by and will abide by the Program's requirements, including the expenditure limits, which are set forth in General Statutes § 9-702 (c).

SNO

Initials

2. I certify that I understand that prior to applying for a grant I must return any contribution or contributions from any one contributor that exceed or exceeds in the aggregate the applicable contribution limit set forth in Chapter 157 of the General Statutes. I further understand that prior to applying for a grant, I must transmit any excess qualifying contributions to the Citizens' Election Fund.

SNO

Initials

3. I certify that either the candidate committee named in Section A has not received any impermissible monetary or in-kind contributions (including coordinated expenditures) from any committee or person, or, if the candidate committee named in Section A has received impermissible monetary or in-kind contributions (including coordinated expenditures), the committee has addressed this with Commission staff and has properly refunded or disgorged (or taken any other applicable steps), and disclosed all such contributions. I further certify that the candidate committee named in Section A will not receive any such contributions (including coordinated expenditures) which are prohibited by the Program. I certify that I understand that the definition of independent expenditure means an expenditure that is wholly or totally made without the consent, coordination, or consultation of a candidate or committee or agent of the candidate or committee.

SNO

Initials

4. I certify that I have not solicited or received any contributions to benefit the candidacy of the candidate who formed the candidate committee named in Section A, or authorized any other person to solicit or receive such contributions, other than qualifying contributions for the candidate committee named in Section A, and that I will not solicit or receive any contributions other than qualifying contributions for the candidate committee named in Section A.

SNO

Initials

5. I certify that I have abided by and will continue to abide by the provisions of the Program governing use of the candidate's personal funds and the provisions of the Program governing loans, as set forth in General Statutes § 9-710.

SNO

Initials

6. I certify that I understand that if I have established or control a political committee, such political committee cannot make any contributions or expenditures to promote or benefit the candidate committee named in Section A.

SNO

Initials

7. I certify that I have thus far abided by the Program's expenditure limits and that the candidate committee named in Section A, for which I am the designated deputy treasurer, has not made expenditures in excess of the expenditure limits applicable to the office the candidate is seeking, as set forth in General Statutes § 9-702 (c). I further certify that I understand that if the candidate committee exceeds any applicable expenditure limit during the period in which the candidate is seeking grant monies, grant monies will not be released and penalties may be assessed for not abiding by the expenditure limit.

Deputy Treasurer Certification continued on page 7



SECTION D. Deputy Treasurer Certification *continued*

- SWO*
Initials
8. I certify as the deputy treasurer of the candidate committee named in Section A that I will expend any moneys received from the Citizens' Election Fund in accordance with the provisions of General Statutes § 9-607 (g) and with the regulations adopted by the State Elections Enforcement Commission ("SEEC") under General Statutes § 9-706 (e). I certify that I understand that the candidate is personally liable and must repay to the Citizens' Election Fund any moneys that are not expended in accordance with the provisions of General Statutes § 9-607 (g) and with any regulations adopted by the SEEC under General Statutes § 9-706 (e).
- SWO*
Initials
9. I certify that I understand that I am required to comply with the requirements of the Program, including all applicable statutes, regulations and declaratory rulings. I certify that I understand that my failure to abide by the requirements of all applicable statutes and regulations may result in the SEEC's imposition of penalties as provided in Chapters 155 and 157 of the General Statutes. I certify that I understand that I shall be personally liable for penalties relating to violations of the Program requirements, by myself, my agents, and/or anyone acting under my explicit or implied direction. I further understand that I am jointly and severally liable with the candidate for paying any excess expenditure in accordance with General Statutes § 9-711 (a).
- SWO*
Initials
10. I certify that I understand that intentionally making a false written statement under oath or pursuant to a form bearing notice, authorized by law, which is intended to mislead a public servant in the performance of his or her official function, is a class A misdemeanor pursuant to General Statutes § 53a-157b (a), and may subject me to criminal penalties, including but not limited to, imprisonment for up to one year and/or a fine of up to \$2,000.

I hereby swear, under penalty of false statement, that the above certifications are true and complete to the best of my knowledge and belief.

[Signature]
DEPUTY TREASURER SIGNATURE

7-19-18
DATE

Sworn and subscribed before me on this 19th day of July, 2018 Seal

Janet Vitaris Waugh
SIGNATURE OF PERSON ADMINISTERING THE OATH

Janet Vitaris Waugh
NAME OF PERSON ADMINISTERING THE OATH (Please Print)

Commissioner of Superior Court Notary Public — My Commission Expires

NOTARY PUBLIC

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.