SEEC FORM 1

STATE ELECTIONS ENFORCEMENT COMMISSION

Registration by Candidate

Revised September 2016

REGISTRATION TYPE	1. ELECTION DATE *(mm/dd/yyyy)*	2. MUNICIPALITY *(If applicable)*
✔ Initial Amendment	Nov 2018	

3. OFFICE OR POSITION SOUGHT	4. DISTRICT NUMBER *(If applicable)*
State Comptroller	

5. PARTY AFFILIATION

✔ Republican Democratic Other *(Specify)* _____

6. CANDIDATE NAME

First Name	MI	Last Name	Suffix
Mark	D	Greenberg	

7. CANDIDATE RESIDENCE ADDRESS			8. CANDIDATE MAILING ADDRESS *(If different)*		
Street Address: 184 Fern Ave			Address:		
City: Litchfield	State: CT	Zip Code: 06759	City:	State:	Zip Code:

9. CANDIDATE TELEPHONE *(Include Area Code)*	10. CANDIDATE EMAIL ADDRESS
860 482 8068	mark@markforcomptroller.com

11. DESIGNATION OF CAMPAIGN FUNDING SOURCE

(Check one)

✔ **A.** I am forming a candidate committee and I am required to file a Candidate Committee Registration Statement.

Go to **Form 1A** *and complete* ***pages 2 and 3*** *— Candidate Registration Statement.*

B. I am exempt from forming a candidate committee and I am filing a Certification of Exemption from Forming a Candidate Committee.

Go to **Form 1B** *and complete* ***page 4*** *— Certification of Exemption from Forming a Candidate Committee.*

Important Notice: Failure of a candidate to complete this page *together with* either Form 1A, "Registration of Candidate Committee," *or* Form 1B "Exemption from Forming a Candidate Committee," within 10 days of becoming a candidate will subject the candidate to a mandatory $100 late filing fee. See Section 9-623(b), Connecticut General Statutes.

Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.

SEEC FORM 1A

STATE ELECTIONS ENFORCEMENT COMMISSION

Candidate Committee Registration Statement

Revised September 2016

REGISTRATION TYPE	CANDIDATE NAME
✔ Initial I Amendment	Mark D Greenberg

12. COMMITTEE NAME

Mark for Comptroller

13. COMMITTEE ADDRESS			14. & 15. COMMITTEE EMAIL ADDRESS & WEBSITE
Address: 53 Peck Rd			Email Address: info@markforcomptroller.com
City: Torrington	State: CT	Zip Code: 06759	Website: www.markforcomptroller.com

16. TREASURER NAME

First Name	MI	Last Name	Suffix
J Kenneth		Nowell	

17. TREASURER RESIDENCE ADDRESS			18. TREASURER MAILING ADDRESS *(If different)*		
Street Address: 97 Hickory Rd			Address:		
City: Torrington	State: CT	Zip Code: 06790	City:	State:	Zip Code:

19. TREASURER TELEPHONE	20. TREASURER EMAIL ADDRESS
(Include Area Code) 860 482 8068	ken@taxnag.com

21. DEPUTY TREASURER NAME

First Name	MI	Last Name	Suffix
Michael	S	Stoughton	

22. DEPUTY TREASURER RESIDENCE ADDRESS			23. DEPUTY TREASURER MAILING ADDRESS *(If different)*		
Street Address: 64 Carmel Hill Rd			Address:		
City: Washington	State: CT	Zip Code: 06793	City:	State:	Zip Code:

24. DEPUTY TREASURER TELEPHONE	25. DEPUTY TREASURER EMAIL ADDRESS
(Include Area Code) 860 482 8068	michael@taxnag.com

26. DEPOSITORY INSTITUTION NAME

Litchfield Bancorp

27. DEPOSITORY INSTITUTION ADDRESS

Address: 294 West Street, Litchfield, CT 06759

REGISTRATION TYPE	CANDIDATE NAME
✔ Initial | Amendment	Mark D Greenberg

28. CERTIFICATION

Candidate

I hereby certify and state, under penalties of false statement, that all of the designations set forth in this candidate committee registration statement are true and accurate to the best of my knowledge and belief, and further, that this statement includes my certification to the fact that any individual designated herein to serve as my treasurer or deputy treasurer have indicated to me their acceptance of my appointment of them to those positions.

Mark D Greenberg — CANDIDATE SIGNATURE

04/18/2017 — DATE (mm/dd/yyyy)

Treasurer

I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated treasurer of this candidate committee. I certify that I am an elector in the State of Connecticut. I intend to comply with all the campaign finance registration and disclosure requirements as contained in Chapter 155 through 157 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.

I certify that I have paid any civil penalties or forfeitures assessed pursuant to Chapters 155 to 157, inclusive.

I certify that I have not been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) felony involving fraud, forgery, larceny, embezzlement or bribery, or (B) criminal offense under Title 9 of the General Statues, or that at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a subsequent conviction of or plea to another such felony or offense.

I certify that I am not otherwise barred from serving as a treasurer by order of the State Elections Enforcement Commission.

J Kenneth Nowell — TREASURER SIGNATURE

04/18/2017 — DATE (mm/dd/yyyy)

Deputy Treasurer

I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated deputy treasurer of this candidate committee, and I understand and accept that, in the event of a vacancy caused by the treasurer's death, incapacity or resignation, I shall automatically become responsible for discharging all of the duties required of the vacating treasurer. I certify that I am an elector in the State of Connecticut. I intend to comply with all the campaign finance registration and disclosure requirements as contained in Chapter 155 through 157 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.

I certify that I have paid any civil penalties or forfeitures assessed pursuant to Chapters 155 to 157, inclusive.

I certify that I have not been convicted of or pled guilty or nolo contendere to, in a court of competent jurisdiction, any (A) felony involving fraud, forgery, larceny, embezzlement or bribery, or (B) criminal offense under Title 9 of the General Statues, or that at least eight years have elapsed from the date of the conviction or plea or the completion of any sentence, whichever date is later, without a subsequent conviction of or plea to another such felony or offense.

I certify that I am not otherwise barred from serving as a deputy treasurer by order of the State Elections Enforcement Commission.

Michael S Stoughton — DEPUTY TREASURER SIGNATURE

04/18/2017 — DATE (mm/dd/yyyy)

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SEEC FORM 1B

STATE ELECTIONS ENFORCEMENT COMMISSION

Certification of Exemption From Forming a Candidate Committee

Revised September 2016

REGISTRATION TYPE	CANDIDATE NAME
☐ Initial ☐ Amendment	

12. REASON FOR EXEMPTION FROM FORMING A CANDIDATE COMMITTEE

I hereby certify that I am exempt from forming a candidate committee because: (CHECK ONE)

☐ **A.** I am one of a slate of candidates whose campaigns are being funded solely by a town committee or a political committee formed for a single election or primary and expenditures made on my behalf will be reported by the committee sponsoring my candidacy. The name of this sponsoring committee is:

_____

OR

☐ **B.** I am funding my campaign entirely from my own personal funds and will not request or receive contributions from other individuals or committees and I understand that if I make expenditures exceeding one thousand dollars ($1,000) that I shall be responsible for filing financial disclosure statements (SEEC Form 23) according to the same schedule and in the same manner as required of treasurers of candidate committees.

OR

☐ **C.** I do not intend to receive or expend funds in excess of one thousand dollars ($1,000).

OR

☐ **D.** I do not intend to receive or expend any funds, including personal funds, for this campaign.

13. CERTIFICATION

I hereby certify and state, under penalties of false statement, that this statement of exemption from forming a candidate committee, for the reason checked above, is true, accurate and complete to the best of my knowledge and belief.

_____ _____

CANDIDATE SIGNATURE DATE (mm/dd/yyyy)

NOT APPLICABLE