

SEEC FORM 1

REGISTRATION BY CANDIDATE

CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

Rev. 3/07

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REGISTRATION TYPE

- INITIAL
 AMENDED

1. ELECTION DATE (mm/dd/yyyy) Nov 2014		2. OFFICE OR POSITION SOUGHT State Representative		3. DISTRICT NUMBER (if applicable) 140	
4. CANDIDATE NAME					
Prefix Mr	First Warren	MI A.	Last Pena	Suffix	
5. CANDIDATE RESIDENCE ADDRESS			6. CANDIDATE MAILING ADDRESS (if different)		
Street Address 8 Felix Ln			Address		
City Norwalk	State CT	Zip Code 06850	City	State	Zip Code
7. CANDIDATE TELEPHONE (Include Area Code)			8. CANDIDATE E-MAIL ADDRESS		
(203) 515 — 0046			warrenapena@gmail.com		
9. PARTY AFFILIATION					
<input type="checkbox"/> Republican <input checked="" type="checkbox"/> Democratic <input type="checkbox"/> Other_					
10. DESIGNATION OF CAMPAIGN FUNDING SOURCE (check one)					

- 10a.** I am forming a candidate committee and I am required to file a Candidate Committee Registration Statement.
(Go to Form 1A and complete Candidate Registration Statement)
- 10b.** I am exempt from forming a candidate committee and I am filing a Certification of Exemption from Forming a Candidate Committee.
(Go to Form 1B and complete Certification of Exemption)

Important Notice: Failure of a candidate to complete this page *together with* either Form 1A, "Registration of Candidate Committee", or Form 1B "Certification of Exemption from Forming a Candidate Committee", within 10 days of becoming a candidate will subject the candidate to a mandatory \$100 late filing fee. See Section 9-623(b), Connecticut General Statutes.

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.

SEEC FORM 1A**REGISTRATION BY CANDIDATE**

CANDIDATE COMMITTEE REGISTRATION STATEMENT
CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION
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CANDIDATE NAME					
Warren A. Pena					
11. NAME OF COMMITTEE					
Pena 2014					
12. COMMITTEE ADDRESS					
Address 9 Mott Ave		City Norwalk		State CT	Zip Code 06850
13. COMMITTEE E-MAIL ADDRESS			14. COMMITTEE WEB SITE ADDRESS		
warrenapena@gmail.com					
15. TREASURER NAME					
Prefix Mr	First Razwan	MI	Last Ahmad	Suffix	
16. TREASURER RESIDENCE ADDRESS			17. TREASURER MAILING ADDRESS (if different)		
Street Address 4386 Madison Ave			Address		
City Trumbull	State CT	Zip Code 06611	City	State	Zip Code
18. TREASURER TELEPHONE (Include Area Code)			19. TREASURER E-MAIL ADDRESS		
(203) 610 — 9583			razwann@aol.com		
20. DEPUTY TREASURER NAME					
Prefix	First	MI	Last	Suffix	
21. DEPUTY TREASURER RESIDENCE ADDRESS			22. DEPUTY TREASURER MAILING ADDRESS (if different)		
Street Address			Address		
City	State	Zip Code	City	State	Zip Code
23. DEPUTY TREASURER TELEPHONE			24. DEPUTY TREASURER E-MAIL ADDRESS		
() —					

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SEEC FORM 1A

REGISTRATION BY CANDIDATE

CANDIDATE COMMITTEE REGISTRATION STATEMENT CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

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COMMITTEE NAME			
Pena 2014			
25. DEPOSITORY INSTITUTION NAME			
Webster Bank			
26. DEPOSITORY INSTITUTION ADDRESS			
Address	City	State	Zip Code
Westport Avenue, Norwalk, CT 06851			
27. CERTIFICATION			
<p>I hereby certify and state, under penalties of false statement, that all of the designations set forth in this candidate committee registration statement are true and accurate to the best of my knowledge and belief, and further, that this statement includes my certification to the fact that any individual designated herein to serve as my treasurer or deputy treasurer have indicated to me their acceptance of my appointment of them to those positions.</p>			
Warren A. Pena			02/10/2014
CANDIDATE (SIGNATURE)			DATE (mm/dd/yyyy)
<p>I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated treasurer of this candidate committee. I intend to comply with all the campaign finance disclosure requirements as contained in Chapter 155 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.</p>			
Razwan Ahmad			02/10/2014
TREASURER (SIGNATURE)			DATE (mm/dd/yyyy)
<p>I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated deputy treasurer of this candidate committee, and I understand and accept that, in the event of a vacancy caused by the treasurer's death, incapacity or resignation, I shall automatically become responsible for discharging all of the duties required of the vacating treasurer. I intend to comply with all the campaign finance disclosure requirements as contained in Chapter 155 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.</p>			
DEPUTY TREASURER (SIGNATURE)			DATE (mm/dd/yyyy)

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SEEC FORM 1B

REGISTRATION BY CANDIDATE

CERTIFICATION OF EXEMPTION FROM FORMING A

CANDIDATE COMMITTEE

CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

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CANDIDATE NAME

11. REASON FOR EXEMPTION FROM FORMING A CANDIDATE COMMITTEE (check one)

I hereby certify that I am exempt from forming a candidate committee because:

11a. I am one of a slate of candidates whose campaigns are being funded solely by a town committee or a political committee formed for a single election or primary and expenditures made on my behalf will be reported by the committee sponsoring my candidacy. The name of this sponsoring committee is: _____

OR

11b. I am funding my campaign entirely from my own personal funds and will not request or accept contributions from other individuals or committees and I understand that if I make expenditures exceeding \$1,000 that I shall be responsible for filing financial disclosure statements according to the same schedule and in the same manner as required of treasurers of candidate committees.

OR

11c. I do not intend to receive or expend funds in excess of \$1,000.

11d. I do not intend to receive or expend any funds, including personal funds, for this campaign.

12. CERTIFICATION

I hereby certify and state, under penalties of false statement, that this statement of exemption from forming a candidate committee, for the reason checked above, is true, accurate and complete to the best of my knowledge and belief.

CANDIDATE (SIGNATURE)

DATE (mm/dd/yyyy)

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