

SEEC FORM 1

REGISTRATION BY CANDIDATE

CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

Rev. 3/07

Page 1 of 4



Do Not Mark in This Space For
Official Use Only

REGISTRATION TYPE

- ☒ INITIAL
☐ AMENDED

1. ELECTION DATE (mm/dd/yyyy) Nov 2012		2. OFFICE OR POSITION SOUGHT State Senator		3. DISTRICT NUMBER (if applicable) 001	
4. CANDIDATE NAME					
Prefix	First John	MI M	Last Phillips	Suffix	
5. CANDIDATE RESIDENCE ADDRESS			6. CANDIDATE MAILING ADDRESS (if different)		
Street Address 82 Monroe St			Address		
City Hartford	State CT	Zip Code 06114	City	State	Zip Code
7. CANDIDATE TELEPHONE (Include Area Code)			8. CANDIDATE E-MAIL ADDRESS		
(860) 808 — 7736			johnphillips45@comcast.net		
9. PARTY AFFILIATION					
☐ Republican ☒ Democratic ☐ Other_					
10. DESIGNATION OF CAMPAIGN FUNDING SOURCE (check one)					

- ☐ **10a.** I am forming a candidate committee and I am required to file a Candidate Committee Registration Statement.
(Go to Form 1A and complete Candidate Registration Statement)
- ☒ **10b.** I am exempt from forming a candidate committee and I am filing a Certification of Exemption from Forming a Candidate Committee.
(Go to Form 1B and complete Certification of Exemption)

Important Notice: Failure of a candidate to complete this page *together with* either Form 1A, "Registration of Candidate Committee", or Form 1B "Certification of Exemption from Forming a Candidate Committee", within 10 days of becoming a candidate will subject the candidate to a mandatory \$100 late filing fee. See Section 9-623(b), Connecticut General Statutes.

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.

REGISTRATION BY CANDIDATE

Rev. 3/07 Page 2 of 4



REGISTRATION TYPE

☐ INITIAL
☐ AMENDED

CANDIDATE NAME										
11. NAME OF COMMITTEE										
12. COMMITTEE ADDRESS										
Address					City		State		Zip Code	
13. COMMITTEE E-MAIL ADDRESS					14. COMMITTEE WEB SITE ADDRESS					
15. TREASURER NAME										
Prefix		First			MI	Last			Suffix	
16. TREASURER RESIDENCE ADDRESS					17. TREASURER MAILING ADDRESS (if different)					
Street Address					Address					
City		State	Zip Code		City		State	Zip Code		
18. TREASURER TELEPHONE (Include Area Code)				19. TREASURER E-MAIL ADDRESS						
() —										
20. DEPUTY TREASURER NAME										
Prefix		First			MI	Last			Suffix	
21. DEPUTY TREASURER RESIDENCE ADDRESS					22. DEPUTY TREASURER MAILING ADDRESS (if different)					
Street Address					Address					
City		State	Zip Code		City		State	Zip Code		
23. DEPUTY TREASURER TELEPHONE				24. DEPUTY TREASURER E-MAIL ADDRESS						
() —										

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.

SEEC FORM 1A

REGISTRATION BY CANDIDATE

CANDIDATE COMMITTEE REGISTRATION STATEMENT
CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION
Rev. 3/07 Page 3 of 4



Do Not Mark in This Space For
Official Use Only

REGISTRATION TYPE

- ☐ INITIAL
☐ AMENDED

COMMITTEE NAME			
25. DEPOSITORY INSTITUTION NAME			
26. DEPOSITORY INSTITUTION ADDRESS			
Address		City	State Zip Code
27. CERTIFICATION			
I hereby certify and state, under penalties of false statement, that all of the designations set forth in this candidate committee registration statement are true and accurate to the best of my knowledge and belief, and further, that this statement includes my certification to the fact that any individual designated herein to serve as my treasurer or deputy treasurer have indicated to me their acceptance of my appointment of them to those positions.			
		CANDIDATE (SIGNATURE)	DATE (mm/dd/yyyy)
I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated treasurer of this candidate committee, and I intend to comply with all the campaign finance disclosure requirements as contained in Chapter 155 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.			
		TREASURER (SIGNATURE)	DATE (mm/dd/yyyy)
I hereby certify and state, under penalties of false statement, that I have accepted my appointment by the candidate to serve as the candidate's designated deputy treasurer of this candidate committee, and I understand and accept that, in the event of a vacancy caused by the treasurer's death, incapacity or resignation, I shall automatically become responsible for discharging all of the duties required of the vacant treasurer. I intend to comply with all the campaign finance disclosure requirements as contained in Chapter 155 of the General Statutes, and to abide by any prohibitions, limitations or restrictions concerning campaign contributions and expenditures.			
		DEPUTY TREASURER (SIGNATURE)	DATE (mm/dd/yyyy)

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.

SEEC FORM 1B

REGISTRATION BY CANDIDATE

CERTIFICATION OF EXEMPTION FROM FORMING A

CANDIDATE COMMITTEE

CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION

Rev. 3/07 Page 4 of 4



Do Not Mark in This Space For
Official Use Only

REGISTRATION TYPE

- ☒ INITIAL
☐ AMENDED

CANDIDATE NAME

John M Phillips

11. REASON FOR EXEMPTION FROM FORMING A CANDIDATE COMMITTEE (check one)

I hereby certify that I am exempt from forming a candidate committee because:

- ☐ **11a.** I am one of a slate of candidates whose campaigns are being funded solely by a town committee or a political committee formed for a single election or primary and expenditures made on my behalf will be reported by the committee sponsoring my candidacy. The name of this sponsoring committee is: _____

OR

- ☐ **11b.** I am funding my campaign entirely from my own personal funds and will not request or receive contributions from other individuals or committees and I understand that if I make expenditures exceeding \$1,000 that I shall be responsible for filing financial disclosure statements according to the same schedule and in the same manner as required of treasurers of candidate committees.

OR

- ☒ **11c.** I do not intend to receive or expend funds in excess of \$1,000.

OR

- ☐ **11d.** I do not intend to receive or expend any funds, including personal funds, for this campaign.

12. CERTIFICATION

I hereby certify and state, under penalties of false statement, that this statement of exemption from forming a candidate committee, for the reason checked above, is true, accurate and complete to the best of my knowledge and belief.

John M Phillips

CANDIDATE (SIGNATURE)

04/04/2012

DATE (mm/dd/yyyy)

Important Notice: Failure of a candidate to complete this page *together with* either Form 1A, "Registration of Candidate Committee", or Form 1B "Certification of Exemption from Forming a Candidate Committee", within 10 days of becoming a candidate will subject the candidate to a mandatory \$100 late filing fee. See Section 9-623(b), Connecticut General Statutes.

Notice: Making a false statement on this form may subject you to criminal penalties, including but not limited to, imprisonment for up to one year or a fine of up to two thousand dollars, or both.